



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Marc S. Alessi  
Shelter Rock Strategies LLC  
300 Garden City Plaza, 5th Floor  
Garden City, NY 11530  
(516) 294-4000

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

Marc S. Alessi is registered as a lobbyist with the Secretary of the Senate, Clerk of the House of Representatives, NYS Joint Commission on Public Ethics and Nassau County Suffolk County Legislature.

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

Client: Green Thumb Industries Inc. - Tel. # 312.471.6720 - Address: 325 W. Huron St., Chicago, IL 60654

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

Green Thumb Industries Inc. - Assisting the client interface with local officials to find an appropriate Nassau County location for its business.

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

Nassau County Legislature, Nassau County Executive's Office, Nassau County IDA, Town of Hempstead, Town of Oyster Bay, Town of North Hempstead, City of Long Beach, City of Glen Cove, Village of Freeport, Village of Hempstead, Village of Valley Stream, Village of Westbury, Nassau County Village Officials Association, New York State Long Island Legislative Delegation and Long Island Congressional Delegation.

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

7. Within the previous year, has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee? If none, you must so state:

None

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 6-10-21

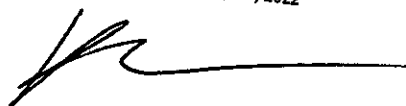
Signed: 

Print Name: Marc S. Alessi

Title: Member

Kristin A. Howard  
Notary Public, State of New York  
No. 01HO6162314, Suffolk County  
Commission Expires Sept. 6, 2022

6/10/2021

 Rev. 3-2016

**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

**The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.**

# SHELTER ROCK STRATEGIES LLC

300 GARDEN CITY PLAZA • 5<sup>th</sup> Floor • GARDEN CITY, NEW YORK 11530

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[www.ShelterRockStrategies.com](http://www.ShelterRockStrategies.com)

Rebecca Brown  
Market President for New York  
Green Thumb Industries  
325 W. Huron St.  
Chicago, IL 60654

Dear Ms. Brown:

This letter confirms the agreement between Green Thumb Industries, (the "Company") and Shelter Rock Strategies, LLP ("SRS") to provide government relations, economic development and general consultation services. SRS shall aide the Company in governmental affairs and strategic alliance matters affecting the Company and shall present the Company's position on such matters to the appropriate governmental agency or body as directed by the Company.

The initial agencies contemplated thus far are:

1. Nassau County Executive
2. Nassau County Legislature
3. Nassau County IDA
4. Town of Hempstead
5. Town of Oyster Bay
6. Town of North Hempstead
7. City of Long Beach
8. City of Glen Cove
9. Village of Freeport
10. Village of Hempstead
11. Village of Valley Stream
12. Village of Westbury
13. Nassau County Village Officials Association
14. New York State Long Island Legislative Delegation
15. Long Island Congressional Delegation

The term of this agreement shall commence on June 1, 2021 and run through December 31, 2021 and may be renewed for additional terms as agreed by the parties. Either party can cancel this agreement with 30 days written notice.

In consideration for the services provided by SRS, the Company shall agree to pay SRS \$5000 per month, due at the first of each month.

Because Client is retaining the Firm to provide the type of services described herein, the parties acknowledge that out of an abundance of caution Client requests affirmative representations from the Firm with regard to adherence to applicable anti-corruption, bribery and lobbying laws and regulations. Therefore, the Firm hereby represents that it and its attorneys, staff or agents will not: (a) offer, pay, promise or authorize, directly or indirectly, any bribe, kickback or other improper or illegal payment to any Person or Public Official in connection with this Agreement; (b) make, offer, pay, promise or authorize the payment of cash or any gift of anything of value, directly or indirectly, to any Person or Public Official for the purpose of: (i) influencing any act or decision of the Public Official in his or her official capacity; (ii) inducing the Public Official to do or not do any act in violation of any Applicable Law; (iii) securing any improper advantage; or (iv) inducing any Public Official to influence the act or decision of a Governmental Authority in order to assist in obtaining, retaining or directing any Contract, business or License relating to any Person; or (d) make any "facilitating payments" in connection with this Agreement. For purposes of this paragraph, a "facilitating payment" is any payment to a Public Official to expedite a non-discretionary, routine governmental action, including payments to expedite any License or other governmental authorization relating to this Agreement. The Firm represents, warrants and covenants that neither the Firm nor any of its attorneys, staff or agents shall make political contributions of any sort or value in connection with this Agreement, and that no lobbyist or intermediary shall be retained by the Firm without prior written authorization of the Client, which the Client may withhold in its sole discretion.

All information which shall come into SRS's possession from the Company relating to the Company's business and activities shall be treated as confidential by SRS and shall not be disclosed to others or used for the benefit of others during the term of this agreement and thereafter without the permission of the Company.

If SRS shall be subject to any claim, demand or penalty or become a party to any suit or other judicial or administrative proceeding as a result of any claimed act or omission by the Company, or by reason of any act occurring in connection with the provision of services by SRS hereunder, excluding specifically claims or causes of action for breach of contract or violation of the confidentiality provisions contained herein, the Company shall indemnify and hold SRS harmless against all judgments, settlements, penalties and expenses including reasonable attorney fees, court costs and other expenses of litigation or administrative proceeding, incurred by or imposed on SRS in connection with the investigation or defense thereof, provided that SRS has timely notified the Company in writing of the existence of the claim, demand, penalty or action and provided further that SRS has not admitted liability for, entered into any settlement agreement, stipulated to any judgment, agreed to arbitration or incurred costs of defense without the Company's prior written consent. The Company's consent shall not be unreasonably withheld provided that the Company shall be entitled to all information and particulars it may reasonably request in order to reach a decision regarding such consent. The Company, at its election, may defend SRS.

This agreement shall be governed and construed in accordance with the laws of the State of New York and the parties consent to the jurisdiction of the Supreme Court, Nassau County for any actions arising hereunder.

If the above sets forth your understanding of our agreement, kindly sign a copy of this letter and return it to us. Once we receive a signed copy we may register with the New York State Joint Council of Public Ethics. We look forward to working with you and will strive at all times to provide the services which are in all manners acceptable to you.

Very truly yours,



Marc Alessi

Agreed to:

Rebecca Brown,  
Market President – New York

By: 

Dated: 06/04/21