

**OFFICE OF THE
NASSAU COUNTY CLERK
2020 ANNUAL REPORT**

**MAUREEN
O'CONNELL
NASSAU COUNTY CLERK**



MAUREEN O'CONNELL, RN, JD
NASSAU COUNTY CLERK



OFFICE OF THE COUNTY CLERK
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Dear Fellow Residents:

I am pleased to provide the Office of the Nassau County Clerk's Annual Report for fiscal year 2020.

Created by the New York State Constitution, the Nassau County Clerk's Office acts as agent for the state and is part of the New York State Unified Court System serving as the Clerk of Supreme and County Courts. The office has unique duties dictated by legal statutes pertaining to processing and indexing all real property ownership records dating back to 1899, as well as filing and maintaining court records. Every record must be reviewed for statutory compliance and is required to be retained in perpetuity. In addition, the County Clerk is responsible for safeguarding vital historic records of the County including the storage and retrieval of records belonging to many county departments.

While one of the most public offices in Nassau County government, the matters handled by the Clerk's Office are of a personal nature to the hundreds of residents who utilize our services daily. My dedicated staff assists residents, legal professionals, other governmental agencies, court staff, and the judiciary with numerous services including court filings, name change orders, small claims assessment review petitions (SCAR), deeds, mortgages, mortgage satisfactions, real property maps, judgments and liens, business certificates, notary public requests, passports, and veteran's discharge filings. Accordingly, the County Clerk exercises a non-delegable fiduciary duty as collection agent for the funds generated by these services on behalf of other taxing jurisdictions, including New York State and the Unified Court System.

In 2020, the County Clerk's Office processed over 615,000 transactions equating to more than 2,300 on the public's behalf each business day totaling over \$289 million in receipts and disbursements. Included in these transactions was substantial expansion and growth of e-recording of land documents, e-filing of court records, and other electronic filing interfaces, creating greater efficiencies and streamlined services.

Due to the COVID-19 pandemic, the Clerk's Office introduced several new technological upgrades including the access of additional document types within our online electronic document database and imaging system in order to accommodate the public during these trying times. As a result of the pandemic, the electronic filing of court and land records saw large gains in 2020 and new electronic interfaces were added to create paperless filings with outside agencies. There were investments made into the office's infrastructure to maintain the highest standards of health and safety for the public that utilize the Clerk's Office every day. I am proud of our community outreach promoting these upgrades which has enabled residents to safely utilize County Clerk services throughout 2020.

The Clerk's Office continues to meet objectives by providing real time recording of documents through implementation of new technologies, responsive constituent services, operational efficiency, document preservation, and personal privacy protection to best serve the public.

During my tenure as County Clerk, I consistently perform my prescribed Constitutional, fiduciary, and statutory obligations to the residents of Nassau County and New York State through the efficient allocation of the resources provided by the County. My office remains committed to providing the optimum level of service the residents of Nassau County deserve.

Very truly yours,

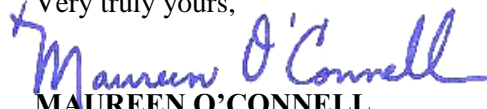

MAUREEN O'CONNELL
Nassau County Clerk

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FEES & TAXES

STATUTORY AUTHORITY

Fees and taxes collected by the Office of the County Clerk are remitted to various Federal, State and local municipalities as follows:

MORTGAGE TAX

In accordance with Article 11 of the New York State Tax Law assessed on mortgages for single and multi family dwellings, commercial property and vacant land through private lenders, natural persons, or Federal Credit Unions.

REAL ESTATE TRANSFER TAX

In accordance with Article 31 of the New York State Tax Law assessed on conveyances of real property.

SUPREME AND COUNTY COURT FEES

In accordance with Article 12 of Nassau County Laws and Article 80 of the New York State Civil Practice Law (CPLR) for County Clerk services as Clerk of the Supreme and County Courts.

RECORDS MANAGEMENT

In accordance with §8018 and §8021 of the New York State Civil Practice Law, Records Management Surcharge for document processing.

EQUALIZATION AND ASSESSMENT

In accordance with §333(3) and §574 of the New York State Real Property Law (RPL), assessed on each RP-5217 form filed with the Clerk's Office.

NOTARY LICENSING

In accordance with New York State Executive Law §131, for the processing of Notary Public Commissions.

SCOPE OF SERVICES PROVIDED

- Recording all deeds, mortgages, mortgage satisfactions, and other real property related filings
- Filing and maintaining county land and subdivision maps
- Issuing civil case index numbers, requests for judicial intervention, notes of issue, and jury demands
- Indexing all Supreme Court files and related documents
- Maintaining Supreme and County Court case files
- Processing name change applications
- Receiving and processing Notices of Appeal to the Appellate Division
- Processing Small Claims Assessment Review (SCAR) matters
- Processing Tax Certiorari cases
- Recording Powers of Attorney
- Indexing judgments, judgment dispositions, and satisfactions
- Providing certificates of disposition of judgments
- Filing transcripts of judgments from other courts
- Indexing all liens, lien satisfactions, and discharges
- Filing New York State warrants and federal tax liens
- Filing Broker Affidavit of Entitlement to Commission
- Registering and filing business names
- Notary Public licensing and renewals; maintaining signature cards of all notary publics qualified in Nassau County; authenticating notary signatures
- Maintaining Oaths of Office registry
- Recording and maintaining Veteran's Discharge (DD-214) documents
- Issuing Veteran's Peddlers licenses
- Passport Acceptance Agent
- Recording Uniform Commercial Code filings
- Recording Firefighter's Exemption Certificates
- Maintaining naturalization records from 1899 to 1997
- Conduct title, judgment, and lien searches
- Providing certified copies of all filed and recorded documents
- Recording various documents for other municipalities and agencies

COUNTY CLERK DEPARTMENTS

ADMINISTRATION

The Administration is responsible for planning and directing all phases of the Clerk's Office operations. It processes and maintains all personnel and payroll records, prepares the budget, effectuates purchases and expenditures, provides public information, community outreach, constituent services, and acts as liaison for inter-governmental affairs.

ACCOUNTING

The Accounting Department is responsible for all accounting operations, including daily reconciliation of all accounts and maintenance of general ledgers and journals. It is responsible for depositing and remitting all fees and taxes collected by the County Clerk. This department verifies that accurate mortgage tax is collected and remitted to the appropriate entity on every mortgage recorded in accordance with Tax Law, Article II, §§250-267. Court related filing fees, business and other filings fees are collected and remitted to the proper entities in accordance with applicable law. The division electronically deposits approximately 90,000 checks annually through a remote on-site processor.

COURT DOCUMENT ROOM

The County Clerk is the Clerk of the Supreme and County Courts and maintains all court files for civil and criminal matters including matrimonial files, tax certioraris, and Criminal Court minutes received from attorneys, parties, courts, and process servers. The public can view on-site most Supreme Court documents. The Clerk's Minutes, a listing of various documents filed by index number, is available on site via a public access database. Court requisitions, subpoena responses, certified copies, and requests by mail are also processed by this department.

COURT RECORDING

In accordance with the CPLR, this department indexes and maintains all court actions received from Supreme and County Courts. It also processes all subsequent pleadings and papers, including decisions, Judgments of Divorce, stipulations, orders, judgments, transfer orders, commissions to committee, and other court documents. Electronic Filing has been expanded to mandatory on most case types as allowed by statute.

LAND RECORDING DEPARTMENT

This Department's operations are in compliance with numerous sections of the Real Property Law. Its functions include recording deeds, mortgages, mortgage assignments, mortgage satisfactions, easements, covenants, powers of attorneys, etc. This department also records liens, lis pendens, notices of violation, and real estate broker affidavits of entitlement to commission to be filed against real property. Recording fees and taxes are collected as required by law.

LAND RECORDS

The County Clerk is responsible for maintaining a Land Records registry, including the archival records of mortgages, deeds and maps dating back to 1899. Over the years, this registry was maintained in various media formats, and since 1992, on the Clerk's electronic database. Public access computer terminals allow access to the electronic database for searching and printing of

land records. All media document a property's genealogy and all instruments are available for public viewing. This department is also responsible for maintaining real property subdivision and highway maps, which currently total in excess of 12,000 maps. As the Clerk's Office most publicly visited department, it handles hundreds of inquiries daily assisting residents, professionals, other county agencies and municipalities in locating documents.

LICENSING & RECEIVING

This department files judgments and several types of court documents including liens, separation agreements, Family Court Orders, and Notices of Violations, lis pendens, confessions of judgment, and judgments of foreclosure. All judgments and liens are verified, and quality controlled to ensure the accuracy of the public record.

- **Licensing** - This division accepts for filing several federal, state, and county licenses and certificates, records Certificates of Release or Discharges from Active Duty (DD-214), provides certified copies thereof, issues Veterans Peddler's Licenses, records Firefighter Exemption certificates, and maintains Naturalization records from 1899 to 1987.
- **Business Names** - This division records and maintains all filings relative to business certificates, corporations, assumed business names (DBA), and religious corporations.
- **Notary** - The Notary division handles notary renewals on behalf of New York State, maintains notary signature cards for every notary qualified in Nassau County, and authenticates notary signatures. On-site notaries are also available to notarize signatures.
- **Passports** - The County Clerk acts as an agent for the United States Passport Service and processes passports.

MICROGRAPHICS & IMAGING

Microfilm and digitized paper records become permanent instruments and part of Nassau County's history. The records include land instruments, judgments, Uniform Commercial Code filings, Business Name filings, and other Receiving Department documents.

RECORD MANAGEMENT CENTER

The Records Management Center for Nassau County is in Westbury and is under the purview of the County Clerk's Office. The department maintains millions of inactive Court files and county records from 54 county agencies. The goal of this Department is to provide a secure storage facility for County Government and Court records, while ensuring the timely retrieval of those records and proper disposal of records that reach the end of their legally prescribed retention period.

New York State Archives has revised and consolidated its local government records retention and disposition schedules and issued a single, comprehensive retention schedule for all types of local governments on August 1, 2020. The new schedule, Retention and Disposition Schedule for New York Local Government Records or LGS-1, has superseded and replaced the CO-2 Schedule that was formerly used by the counties in New York State. The Nassau County Legislature adopted the newly legislated New York State schedule by resolution in November of 2020.

INITIATIVES

TECHNOLOGY ENHANCEMENTS

In 2020, the County Clerk implemented new technological advancements that enhanced the customer experience while continuing to allow ease of access to the County Clerk's office and our services.

As part of a continued effort to implement state-of-the-art technology in the County Clerk's office, the "Property Fraud Alert" online subscription service, powered by Avenu Insights & Analytics, was launched to great success. As part of the County Clerk's ongoing initiative to maintain the highest standard of protection for residents, this electronic notification service alerts the subscriber of fraudulent record filings on their property. There is no subscription fee for this service. Registration is available through the County Clerk's website.

Through previously secured capital funding, the County Clerk's office added state-of-the-art Pay-Per-Use copiers and microfilm equipment to be utilized in our Division of Land Records. This technological upgrade began to be rolled out in the County Clerk's office toward the end of 2019 and was finalized during the last quarter of 2020. This rollout has continued to modernize office operations, including the County Clerk's initiative to shift from cash and coin towards more secure digital payment methods. While these upgrades continue the County Clerk's modernization and streamlining of her office, it is also a positive impact to Nassau County's operating fund and has created a new recurring source of revenue of at least \$150,000 each year.

Due to the COVID-19 pandemic, the Nassau County Clerk has continued expanding her electronic document management system. This system maintains the County Clerk index and document images, offering online access to various land and court records. Additional document types have been added to the website providing residents and professionals greater access to County Clerk records remotely at no additional cost, which include most documents needed for a title search. This advances one of the Clerk's main priorities of furthering ease of access to her office and reducing foot traffic in the County Clerk's office during these unprecedented times.

COVID-19 RELATED INFRASTRUCTURE IMPROVEMENTS

The COVID-19 global pandemic had severe economic consequences during the 2020 fiscal year. The pandemic led to dramatic changes in how public facing offices must conduct business to ensure the expected pre-pandemic level of service. As a result, Nassau County Clerk Maureen O'Connell instituted various measures in the County Clerk's Office for the benefit of the public and County Clerk staff. Early in the pandemic, the County Clerk requested that Nassau County's Department of Public Works add safety upgrades to the existing infrastructure of the County Clerk's office. The majority of these upgrades focused on departments where County Clerk employees conduct in-person business with the public. Plexiglass dividers were installed in all public facing rooms to help decrease viral transmission between public and staff. These dividers work in tandem with the New York State guidelines requiring facial coverings to ensure a safe environment to conduct business. Precise floor markings were created throughout the County Clerk's office to ensure 6-foot social distancing at all times. Strategically placed hand sanitizer dispensers in public rooms and touchless water faucets in County Clerk bathrooms were installed to decrease virus transmission. All departments and restrooms within the County Clerk's office are sanitized multiple times daily to the specifications of the County Clerk. For those who would rather conduct business with the County Clerk without face-to-face interaction, convenient drop-boxes were added in the County Clerk's lobby. As is the County

Clerk's continued objective, her office still offers multiple ways to conduct business online from the comfort of your home or office.

DOCUMENT CONVERSION

The Nassau County Clerk's office was awarded a New York State Archives Grant in 2017 to convert paper separation agreements to the Clerk's centralized electronic database. These court records are scanned and indexed into the database making them easily accessible to staff while also preserving these records for permanent retention. The Clerk's office continually pursues grant opportunities to assist with various projects to enhance the office's operations.

Capital funds were secured to backfill real property records that predate the Clerk's electronic database system. This funding will allow the Clerk's office to convert a portion of paper records to an electronic format eliminating the need to access older original records. Converting these records will create efficient access for staff and ease of access for the public. Once converted the records will be available in-house as well as online on the Clerk's website.

COMMUNITY OUTREACH

The County Clerk is continually developing opportunities to better serve the residents of Nassau County by bringing the services of the office to the public and creating ease of access which remains a top priority for the County Clerk. While many of these operations were "paused" due to the COVID-19 pandemic, the County Clerk's office will fully resume its Community Outreach schedule once it is safe to do so.

An active schedule of "Nassau County Clerk Maureen O'Connell's Mobile Office" operations brings the Clerk's Office directly to residents within our community. Many of the vital transactions conducted at the County Clerk's office can be done for the public at these mobile office events which are held at various locations throughout Nassau County.

The County Clerk has continued to offer extended office hours on Tuesday evenings. This provides residents the opportunity to access office services outside normal business hours to accommodate all schedules.

The County Clerk remains committed to enhancing the level of service provided to the public in an accessible and efficient manner.

YEAR IN REVIEW

In fiscal year 2020 the Office of the County Clerk:

- Collected approximately \$289 million in revenue
 - \$56 million contributed to the Nassau County General Fund
 - \$158 million remitted to New York State
 - \$73 million in mortgage tax revenues distributed to the 3 townships and 2 cities within Nassau County

- Processed more than 615,000 transactions

- Recorded 290,000 real property related documents

- Filed more than 14,000 business filings

- Processed more than 30,000 judgement filings

- Recorded over 4,000 lien filings

- Processed more than 5,000 Notary Public License related filings

- Recorded approximately 6,000 Uniform Commercial Code related filings

- Processed more than 34,000 searches, certifications & copy requests

- Processed more than 44,000 miscellaneous filings

Summary of Receipts & Disbursements

For Year Ending December 31, 2020

Receipts

| | | |
|--|--------------------|-----------------------|
| County Fees (Schedule 1) | 53,254,325 | |
| NYS Office of Court Administration Fees (Schedule 1) | 8,744,700 | |
| State Fees (Schedule 1) | 3,043,750 | |
| Surcharge Fees (Schedule 1) | 3,068,750 | |
| Real Estate Transfer Tax (RETT) (Schedule 1) | 67,106,261 | |
| Mortgage Tax (Schedule 1) | <u>153,935,376</u> | 289,153,162 |
| Interest Earned (Schedule 1) | <u>70,024</u> | |
| | | 70,024 |
| TOTAL RECEIPTS | | \$ 289,223,186 |

Disbursements

Nassau County Treasurer:

| | | |
|--|------------------|-----------------------|
| Local Mortgage Tax Distribution (Schedule 3 & 4) | 73,532,728 | |
| Fees & Interest (Schedule 5) | 53,515,680 | |
| Mortgage Tax Recording Administrative Fees & Interest (Schedule 5) | <u>2,834,886</u> | |
| Total to Nassau County Treasurer | | \$ 129,883,294 |

NY State:

| | | |
|--|------------|--|
| NYS Office of Court Administration (Schedule 2) | 8,748,769 | |
| Metropolitan Transit Authority - Mortgage Tax (Schedule 3) | 73,537,574 | |
| State of New York Mortgage Authority (SONYMA) - Mortgage Tax Schedule 3) | 3,502,553 | |
| New York State Tax Commission - Real Estate Transfer Tax (RETT) (Schedule 6) | 67,089,800 | |
| New York State Tax Commission - Equalization & Assessment (Schedule 7) | 2,854,190 | |

| | | |
|--|----------------|-------------------------------------|
| New York State Tax Commission - Record Management Fund (Schedule 8) | 873,995 | |
| New York State Tax Commission - Cultural Education Fund (Schedule 8) | 2,028,357 | |
| New York State Tax Commission - Notary Division (Schedule 1) | <u>141,680</u> | |
| Total to New York State | | \$ 158,776,918 |
| <u>Other State Ordered Disbursements:</u> | | |
| Mortgage Tax Apportionments to other Counties | 480,610 | |
| Mortgage Tax Other Refunds | 7,962 | |
| Mortgage Tax Adjustments of Year End Holds for Apportionment Total to Other Jurisdictions & Holds | <u>74,402</u> | \$ 562,974 |
| TOTAL DISBURSEMENTS | | <u><u>\$ 289,223,186</u></u> |

TOTAL RECEIPTS BY TYPE

FOR YEAR ENDING DECEMBER 31, 2020

SCHEDULE 1

| Services & Filings | Number of Filings | Nassau County Receipts | NYS Receipts | RETT Receipts | Surcharge Receipts | Court Receipts | Mortgage Tax Receipts | Total Receipts |
|--|-------------------|------------------------|------------------|-------------------|--------------------|------------------|-----------------------|--------------------|
| Business Names & Corporations | 14,258 | 144,830 | | | | | | 144,830 |
| Court Filings | 185,944 | 842,878 | 426,431 | | | 8,744,700 | | 10,014,009 |
| Deeds, Fees & Taxes | 51,444 | 9,290,236 | 555,746 | 67,106,261 | 3,068,750 | | | 80,020,993 |
| Judgments | 30,764 | 88,905 | | | | | | 88,905 |
| Liens | 4,240 | 88,717 | 1,045 | | | | | 89,732 |
| Mortgages – Fees & Taxes | 237,245 | 37,880,121 | 1,918,848 | | | | 153,935,376 | 193,734,345 |
| Uniform Commercial Code | 6,058 | 319,335 | | | | | | 319,335 |
| Miscellaneous Filings/Fees | 44,730 | 3,744,458 | | | | | | 3,744,458 |
| Notary Licenses | 5,688 | 89,260 | 141,680 | | | | | 230,940 |
| Searches, Certifications, Images, Copies | 34,874 | 765,585 | | | | | | 765,585 |
| Net Receipts | | 53,254,325 | 3,043,750 | 67,106,261 | 3,068,750 | 8,744,700 | 153,935,376 | 289,153,162 |
| Interest Earned | | 36,361 | 283 | 3,038 | | 4,069 | 26,273 | 70,024 |
| Total Receipts | 615,245 | 53,290,686 | 3,044,033 | 67,109,299 | 3,068,750 | 8,748,769 | 153,961,649 | 289,223,186 |

New York State Office of Court Administration Distribution

**For Year Ending December 31, 2020
Schedule 2**

| | Number of Filings | Receipts |
|---|----------------------|------------------|
| Index Numbers: | | |
| Civil | 17,710 | 2,907,795 |
| <i>Matrimonial</i> | 960 | 154,110 |
| <i>Tax Certioraris</i> | 3,887 | 641,355 |
| Notes of Issue | 5,476 | 164,100 |
| Jury Demand | 1,565 | 101,725 |
| Request for Judicial Intervention (RJI) | 13,449 | 1,262,360 |
| Notice of Appeal | 1,320 | 85,410 |
| Motions | 14,571 | 651,555 |
| Stipulations of Settlement or Voluntary Discontinuance | 9,757 | 340,970 |
| Assessment Review Petitions (SCAR) | 91,880 | 2,297,000 |
| Foreclosures | 780 | 138,320 |
| Interest | | 4,069 |
| Total | 161,355 | 8,748,769 |

Mortgage Tax Distribution

For Year Ending December 31, 2020

Schedule 3

| | Total Fees Disbursed | Remitted to Nassau County Treasurer | Remitted to NYS MTA | Remitted to SONYMA |
|------------------|---------------------------------|--|----------------------------|-------------------------------|
| January | 12,702,804 | 6,263,950 | 6,098,936 | 339,918 |
| February | 11,196,130 | 5,323,508 | 5,549,600 | 323,022 |
| March | 8,535,572 | 4,217,747 | 4,127,063 | 190,762 |
| April | 5,917,521 | 2,854,046 | 2,744,890 | 318,585 |
| May | 8,230,260 | 4,009,948 | 4,029,286 | 191,026 |
| June | 14,258,024 | 7,041,430 | 7,040,348 | 176,246 |
| July | 15,389,049 | 7,564,700 | 7,295,114 | 529,235 |
| August | 12,134,003 | 5,928,140 | 6,021,343 | 184,520 |
| September | 14,237,908 | 6,967,787 | 6,960,390 | 309,731 |
| October | 16,269,421 | 7,929,715 | 7,948,292 | 391,414 |
| November | 13,512,902 | 6,577,210 | 6,818,043 | 117,649 |
| December | 18,189,261 | 8,854,547 | 8,904,269 | 430,445 |
| Total | \$ 150,572,855 | \$ 73,532,728 | \$ 73,537,574 | \$ 3,502,553 |

Local Mortgage Tax Distribution

For Year Ending December 31, 2020
Schedule 4

| | |
|----------------------------|---------------------|
| Town of Hempstead | 38,594,520 |
| Town of North Hempstead | 13,746,013 |
| Town of Oyster Bay | 18,546,152 |
| City of Glen Cove | 900,103 |
| City of Long Beach | 1,745,940 |
| Total Distributions | \$73,532,728 |

County Disbursement

For Year Ending December 31, 2020

Schedule 5

Summary of Receipt Sources Disbursed to the Nassau County Treasurer

| | | |
|---|------------|-------------------|
| County Fees (See Schedule 1) | 53,254,325 | |
| Bank Interest Earnings (See Schedule 1) | 23,977 | |
| New York State Real Estate Transfer Tax (See Schedule 6) | 22,538 | |
| New York State Division of Equalization & Assessment (Surcharge) (See Schedule 7) | 214,560 | |
| New York State Commission of Taxation & Finance - Records Management (See Schedule 8) | 280 | |
| Subtotal County Fees, County Portion NYS Fees, Taxes & Interest | | 53,515,680 |
| Mortgage Tax: Administration Fee Allowed by New York State | 2,825,820 | |
| Mortgage Tax Interest: County Portion | 9,066 | |
| Subtotal County Portion Mortgage Tax & Interest | | 2,834,886 |
| Total Receipts Disbursed to Nassau County | | 56,350,566 |

*Total Disbursed not inclusive of Local Mortgage Tax Distribution (See Schedule 3 & 4)

Real Estate Transfer Tax (rett)

For Year Ending December 31, 2020
Schedule 6

| Nassau County Share Interest on Deposits | Recording Officers Fees | Remitted to Nassau County Treasurer | Total RETT Receipts | Recording Officer's Fees | NYS Share Interest on Deposits | Remitted to NYS Tax Commission |
|--|-------------------------|-------------------------------------|---------------------|--------------------------|--------------------------------|--------------------------------|
| 898 | 1,838 | 2,736 | 6,145,173 | (1,838) | 898 | 6,144,233 |
| 822 | 1,550 | 2,372 | 5,178,598 | (1,550) | 822 | 5,177,870 |
| 633 | 1,213 | 1,846 | 3,518,837 | (1,213) | 633 | 3,518,257 |
| 248 | 620 | 868 | 2,027,611 | (620) | 248 | 2,027,239 |
| 59 | 726 | 785 | 2,400,449 | (726) | 59 | 2,399,782 |
| 52 | 1,453 | 1,505 | 4,954,787 | (1,453) | 52 | 4,953,386 |
| 40 | 1,775 | 1,815 | 5,645,155 | (1,775) | 40 | 5,643,420 |
| 67 | 1,979 | 2,046 | 5,737,172 | (1,979) | 67 | 5,735,260 |
| 67 | 2,395 | 2,462 | 7,202,630 | (2,395) | 67 | 7,200,302 |
| 44 | 2,092 | 2,136 | 7,762,331 | (2,092) | 44 | 7,760,283 |
| 47 | 1,676 | 1,723 | 6,705,990 | (1,676) | 47 | 6,704,361 |
| 60 | 2,184 | 2,244 | 9,827,531 | (2,184) | 60 | 9,825,407 |
| 3,037 | 19,501 | 22,538 | 67,106,264 | (19,501) | 3,037 | 67,089,800 |

* Recording Officer's Fees, in accordance with New York State statute, retained by the County Clerk and remitted to the Nassau County Treasurer based on the number of documents recorded.

Office of The Nassau County Clerk

2020 Operating Expenses Schedule 7

| | County Clerk | Records Management |
|---------------------------------|--------------|-----------------------|
| Salaries, Wages & Fees Expenses | \$ 5,403,977 | \$ 679,726 |
| Equipment | \$ 47,669 | \$ 136,425 |
| Consumables | \$ 139,574 | \$ 56,596 |
| Contractual Services | \$ 591,834 | \$ 80,192 |
| | | |
| Total | \$ 6,183,054 | \$ 952,939 |
| | | |
| Total Operating Expenses | \$ 7,135,993 | |

Equalization and Assessment

For Year Ending December 31, 2020

Schedule 8

| | Total Fees Disbursed | Remitted to New York State | Remitted to Nassau County Treasurer |
|------------------|-----------------------------|-----------------------------------|--|
| January | 312,625 | 291,016 | 21,609 |
| February | 259,750 | 241,651 | 18,099 |
| March | 204,375 | 190,119 | 14,256 |
| April | 102,500 | 95,345 | 7,155 |
| May | 121,125 | 112,575 | 8,550 |
| June | 244,500 | 227,373 | 17,127 |
| July | 297,250 | 276,541 | 20,709 |
| August | 252,375 | 234,888 | 17,487 |
| September | 300,875 | 279,770 | 21,105 |
| October | 327,375 | 304,371 | 23,004 |
| November | 279,500 | 259,772 | 19,728 |
| December | 366,500 | 340,769 | 25,731 |
| Total | <u>3,068,750</u> | <u>2,854,190</u> | <u>214,560</u> |

Record Management

For Year Ending December 31, 2020

Schedule 9

| | Total Fees Disbursed | NYS Record Management Interest Share | Remitted to NYS Record Management Fund | NYS Cultural Education Interest Share | Remitted to NYS Cultural Education Fund | Remitted to Nassau County Treasurer |
|------------------|----------------------|--------------------------------------|--|---------------------------------------|---|-------------------------------------|
| January | 282,759 | 13 | 67,175 | 40 | 201,395 | 14,189 |
| February | 239,172 | 23 | 56,777 | 73 | 170,345 | 12,050 |
| March | 207,049 | 16 | 49,177 | 48 | 147,459 | 10,413 |
| April | 114,562 | 6 | 27,203 | 16 | 81,610 | 5,749 |
| May | 155,566 | 1 | 36,946 | 4 | 110,837 | 7,783 |
| June | 312,302 | 1 | 222,514 | 1 | 74,171 | 15,617 |
| July | 315,568 | 1 | 74,950 | 1 | 224,837 | 15,781 |
| August | 251,667 | 2 | 59,769 | 5 | 179,308 | 12,590 |
| September | 276,893 | 3 | 65,759 | 10 | 197,277 | 13,857 |
| October | 297,096 | 1 | 70,566 | 4 | 211,670 | 14,860 |
| November | 263,225 | 1 | 62,515 | 3 | 187,544 | 13,166 |
| December | 339,236 | 2 | 80,574 | 5 | 241,694 | 16,968 |
| Total | 3,055,095 | 70 | 873,925 | 210 | 2,028,147 | 153,023 |

TO THE COUNTY LEGISLATURE, COUNTY OF NASSAU

I certify this statement of all moneys received by this office during the calendar year ending December 31, 2020

| | |
|--|--------------------|
| Business Names & Corporations | 144,830 |
| Court Fees | 8,744,700 |
| Deed Recordings | 9,016,515 |
| Deed - Real Estate Transfer Tax (RETT) | 67,106,261 |
| Deed - Equalization & Assessment | 3,068,750 |
| Records Management/Cultural Education (RM) | 2,902,070 |
| Judgments | 61,995 |
| Liens | 87,669 |
| Mortgage Recordings & Tax | 187,222,886 |
| Uniform Commercial Code | 247,708 |
| Miscellaneous Filings/Fees | 3,454,840 |
| Notary Licenses | 230,940 |
| Other Receipts | 49,166 |
| Searches, Certifications, Images, Copies | 6,814,832 |
| Interest Earned | 70,024 |
| TOTAL | 289,223,186 |

I certify this statement of all moneys disbursed by this office during the calendar year ending December 31, 2020

| | |
|---|--------------------|
| Nassau County Treasurer- Fees | 53,515,680 |
| Nassau County Treasurer- Mortgage Tax | 73,532,728 |
| Nassau County Treasurer- Mortgage Fees | 2,834,886 |
| New York State Office of Court Administration | 8,748,769 |
| Metropolitan Transportation Authority- Mortgage Tax | 73,537,574 |
| Sate of New York Mortgage Authority (SONYMA) - Mortgage Tax | 3,502,553 |
| New York State- Tax Commission - RETT | 67,089,800 |
| New York State- Division of Equalization & Assessment | 2,854,190 |
| New York State- Commission of Taxation & Finance- RM | 2,902,352 |
| New York State- Notary Division | 141,680 |
| Mortgage Tax Apportionment Releases to other Counties | 480,610 |
| Other Mortgage Tax Refunds | 7,962 |
| Mortgage Tax Hold Pending Apportionment | 74,402 |
| TOTAL | 289,223,186 |

STATE OF NEW YORK
COUNTY OF NASSAU

SS:

Maureen O'Connell, being duly sworn, says she is the County Clerk of the County of Nassau; that the foregoing statement is in all respects a full and true statement of all moneys received by her as such clerk, to the best of her knowledge and belief.

Sworn to me this twentieth day of January, 2021


Notary Public

RITA G. ESPOSITO
Notary Public, State of New York
No. 04ES6307400
Qualified in Nassau County
Commission to Expire 7/1/2022



Maureen O'Connell
Nassau County Clerk