

# *Overview of the Fiscal 2019*

## *Executive Budget*



### **Nassau County Office of Legislative Budget Review**

Maurice Chalmers, Director  
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*October 3, 2018*

*Agencies Scheduled to Testify:*

*Consumer Affairs*

*Human Services*

*Social Services*

*Traffic & Parking Violation Agency*

**Nassau County Legislature**

**Hon. Richard Nicoello,**  
*Presiding Officer*

**Hon. Kevan Abrahams,**  
*Minority Leader*

**Maurice Chalmers**  
DIRECTOR  
OFFICE OF LEGISLATIVE  
BUDGET REVIEW



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**Inter-Departmental Memo**

To: Hon. Richard Nicoletto, Presiding Officer  
Hon. Kevan Abrahams, Minority Leader  
All Members of the Nassau County Legislature

From: Maurice Chalmers, Director  
Office of Legislative Budget Review

A handwritten signature in blue ink, appearing to be "M. Chalmers", written over a circular stamp or mark.

Date: October 1, 2018

Re: FY 19 Budget Hearing – October 3, 2018

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Enclosed please find our preliminary review of the FY 19 Executive Budget for the specific agencies scheduled to appear at the Full Legislative Budget Hearing on October 3, 2018. The attached analysis includes two years of historical expenditures and revenue, as well as the Office of Legislative Budget Review's (OLBR) projections for FY 18. OLBR's final review of the FY 19 Proposed Budget will be updated with information that is discussed and gathered from this hearing.

### **Department of Consumer Affairs**

The Office of Consumer Affairs (OCA) and the Taxi and Limousine Commission (TLC) merged in FY 18 to form the Department of Consumer Affairs (DCA). The FY 19 proposed revenue budget is \$7.4 million. This is a \$1.2 million or 20.4% increase over the FY 18 NIFA Conformed Budget and a \$1.6 million or 28.7% increase over OLBR's projections. The main driver for the revenue increase is a \$846,285 budget to budget rise in permits and licenses. Specifically, home improvement license revenue, accounting for about \$656,285, \$200,000 increase to weights & measures fees, and \$20,000 in ATM registration fees. The proposed fines & forfeitures budget is \$1.6 million in FY 19, \$300,000 or 23.1% above the FY 18 NIFA Conformed Budget. The proposed fine budget is \$600,000 or 60.0% greater than the latest OLBR projection. The department expects the additional revenue generation from better scheduling, staffing, and technology deployment after merging OCA and TLC. They anticipate that better enforcement and application processing times should lead to additional revenues across all divisions of the department.

The proposed FY 19 expense budget is decreasing by \$97,746 or -4.1% compared to the FY 18 NIFA Conformed Budget. The decline in salaries was a result of a reduction in full time headcount from 33 to 30 budget to budget. According to the department, headcount is reduced as investigatory staff losses in both departments are being mitigated as the two departments continue their integration. Proposed equipment expenses are up 171.1% to \$33,500 when compared to the FY 18 budget. The equipment expense increase is due to a one-time increase to outfit Weight and Measure's vehicles with computer equipment. Currently, the inspectors issue inspection certificates and violations on paper, by hand. The FY 19 budget funds \$40,000 for contractual services a 30.3% increase over the previous budget. The department uses the contractual services budget for judicial hearing officers, stenographers, and is exploring the services of a tow company for vehicle impoundment.

### **Department of Human Services**

Expenses for the Department of Human Services are increasing by roughly \$3.9 million or 11.3% in the FY 19 Proposed Budget compared to the current budget. The budget expense change is attributed to rises in salaries, general expenses, and contractual services.

Salaries are increasing by \$772,522 or 18.1% budget to budget and are up 18.7% compared to OLBR's current projection. The increase in salaries results from eliminating the savings in Legislative Attrition and the Voluntary Separation Incentive Payment (VSIP) that were included in last year's budget. Since the current Collective Bargaining Agreements expired on December 31, 2017, salaries only include a step increase with no Cost of Living Adjustments (COLA) for CSEA employees.

Contractual services are increasing in FY 19 by \$2.9 million, or 11.4% compared to the prior year budget of \$25.7 million. The budget variances are mostly attributed to program growths of \$2.3 million in the Office of the Aging (OFA), \$0.2 million in the Office of Youth Services (OYS), and \$0.4 million in the Office of Mental Health, Chemical Dependency and Development Disabilities Services (OMHCDDS).

The FY 19 revenue budget of \$16.4 million, a growth of \$140,746 or 0.9%, compared to the FY 18 NIFA Conformed Budget is primarily due to increases in state aid, offset by a decrease in federal aid (the NYS Pass Thru Federal Funds reimbursement). Federal aid is decreasing by \$137,644 or 2.7% due to reduced funding from the New York State Office for the Aging (NYSOFA). State aid is rising by \$278,390 or 2.5% due increased reimbursements for services to the elderly and local mental health assistance.

### **Department of Social Services**

The proposed expense budget for the Department of Social Services (DSS) is \$485.1 million, which is about 2.0% greater than OLBR's latest projection. Rising costs for Medicaid, salaries and Direct Assistance (recipient grants, purchased services and emergency vendor payments) are driving the \$9.7 million variance. The FY 19 Proposed Budget is \$4.5 million higher than the FY 18 NIFA conformed budget mainly due to higher Medicaid costs.

The Proposed salaries are decreasing 0.6% budget to budget and are increasing \$2.1 million above the latest projection. To keep costs down in FY 18, the department has successfully shuffled positions and is projected to have a salary surplus. The lower starting salary point has made it possible to absorb the additional positions and the step increases that unions employees will be entitled to in the proposed budget with only a \$2.1 million increase in salaries.

The proposed budget has about 3.0%, or \$5.4 million more revenue than in the prior year budget and \$8.1 million more compared to OLBR's current projection. The changes are mainly in federal and state aid. About 90.6% of the proposed revenue budget is federal and state aid. The department revenue is also increasing marginally due to an additional \$30,000 in funding for other welfare receipts costs.

### **Traffic and Parking Violations Agency**

The Proposed FY 19 Budget for revenues is \$79.1 million, a growth of \$10.7 million, or 15.7% from the prior year budget and rising \$7.2 million compared to the OLBR projection. The budget increase is primarily attributed to a significant increase of Boot and Tow and Red Light Camera (RLC) revenue.

The RLC portion of the FY 19 revenue is \$24.6 million and the related administrative fee is \$22.1 million, which represents 278 operational cameras. Both RLC revenue streams are increasing by roughly \$2.5 million and \$1.4 million, respectively. Budget to budget, the total growth is \$3.8 million.

The boot and tow fee, which is \$175 per violation has a Proposed FY 19 Budget of \$9.1 million. This is \$8.0 million more than the prior year's budget and \$7.0 more than the OLBR projection. This substantial increase is part of the March FY 18 NIFA Amendments implemented by the County Executive's Office, which is contingent on Legislative approval and has been carried over in the Proposed FY 19 Budget. OLBR is flagging this revenue as a risk.

The FY 18 NIFA Conformed Budget for expenses is increasing by \$2.8 million, or 20.1%, budget to budget and increasing by roughly \$2.0 million, or 13.7%, compared to OLBR's projection. This is primarily attributed to an increase in contractual expenses.

The FY 19 contractual services budget includes \$12.5 million, an increase of \$2.8 million compared to the prior year's budget and \$1.9 million versus OLBR's projection. The increase in expenses is mainly due to an increase of \$1.7 million for the RLC vendor expenses and an anticipated \$1.0 million increase in vendor collections.

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The Office of Consumer Affairs (OCA) provides consumer protection, advocacy and information to guard consumers from fraud, deceit, and misrepresentation involving the sale of goods and services. Authorized by Local Law 9-1967, Local Law 2-1970, Local Law 6-1970, and the New York State General Business Law, the department ensures the welfare of Nassau County consumers by fostering high standards of honesty and fair business practices. In 2018, the Office of Consumer Affairs and the Taxi and Limousine Commission combined becoming the Department of Consumer Affairs (DCA). The department expects efficiencies in administrative staff, additional revenue generation and cost savings from the merger of the departments. The charts below combine the historical data of both departments.

| Revenue                | Historical         |                    | 2018               |                    | 2019               | Exec. vs. Adopted  |              | Exec. vs. Projected |              |
|------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------|---------------------|--------------|
|                        | 2016               | 2017               | NIFA Conf. Budget  | OLBR Projected     | Executive Budget   | Variance           | Percent      | Variance            | Percent      |
| Permits & Licenses     | \$3,938,470        | \$5,474,675        | \$4,771,435        | \$4,675,000        | \$5,617,720        | \$846,285          | 17.7%        | \$942,720           | 20.2%        |
| Fines & Forfeits       | 1,671,670          | 2,123,123          | 1,300,000          | 1,000,000          | 1,600,000          | 300,000            | 23.1%        | 600,000             | 60.0%        |
| Rents & Recoveries     | 0                  | 139                | 0                  | 0                  | 100,000            | 100,000            | *****        | 100,000             | *****        |
| Dept Revenues          | 282                | 300                | 200                | 200                | 200                | 0                  | 0.0%         | 0                   | 0.0%         |
| State Aid-Reimb of Exp | 18,873             | 38,998             | 45,000             | 45,000             | 45,000             | 0                  | 0.0%         | 0                   | 0.0%         |
| <b>Total</b>           | <b>\$5,629,295</b> | <b>\$7,637,234</b> | <b>\$6,116,635</b> | <b>\$5,720,200</b> | <b>\$7,362,920</b> | <b>\$1,246,285</b> | <b>20.4%</b> | <b>\$1,642,720</b>  | <b>28.7%</b> |

**Revenues**

- DCA’s revenue is up 20.4% in the proposed budget, from \$6.1 million in the FY 18 NIFA Conformed Budget to \$7.4 million in FY 19. When the proposal is set side by side with the current projection, revenue is up 28.7%, or \$1.6 million.
- The main driver for the overall revenue variance is tied to a \$846,285 budget to budget increase in permits & licenses. The department expects FY 19 to be a better year for home improvement license revenue, accounting for about \$656,285 of the overall revenue variance. The remaining difference is spread out across several other permits & licenses, including a \$200,000 increase to weights & measures fees, and \$20,000 in ATM registration fees. The department expects the additional revenue generation from better scheduling, staffing, and technology deployment. They anticipate that better enforcement and application processing times should lead to additional revenues across all divisions of the department.
- Proposed FY 19 state aid revenue is level with the FY 18 NIFA Conformed Budget. The State reimburses the County for expenses that the Division of Weights & Measures may incur in connection with the gasoline sampling program. The State caps the County’s reimbursement.
- The proposed fines & forfeitures budget is \$1.6 million, 23.1% above the FY 18 NIFA Conformed Budget. The proposed fine budget is 60% greater than the latest OLBR projection. DCA issues fines to businesses violating County and State law and regulations. For example, the County would issue a fine to a home improvement business operating without a Nassau County license.

**Revenues, cont.**

**Permits & Licenses**

- Proposed permits and licenses revenue are up 17.7% from \$4.8 million in FY 18, to \$5.6 million in FY 19. The chart below includes the FY 17 historical yield, the FY 18 NIFA Conformed Budget, and the Proposed FY 19 Budget for each permit and license collected by the department:

| PERMITS & LICENSES              | FY 17 Actual        | FY 18 Conformed     | FY 19 Proposed      |
|---------------------------------|---------------------|---------------------|---------------------|
| HOME IMPRVMT LICENSE            | \$ 3,200,880        | \$ 2,880,000        | \$ 3,536,285        |
| WEIGHTS & MEASURE FEES          | 1,565,640           | 1,300,000           | 1,500,000           |
| TAXI AND LIMO REGISTRATION FEES | 213,415             | 276,435             | 276,435             |
| ATM REGISTRATION FEES           | 155,100             | 120,000             | 140,000             |
| LICENSING                       | 321,240             | 145,000             | 145,000             |
| HEALTH CLUB LICENSE             | 18,400              | 50,000              | 20,000              |
| <b>Total</b>                    | <b>\$ 5,474,675</b> | <b>\$ 4,771,435</b> | <b>\$ 5,617,720</b> |

- Proposed home improvement license receipts are up 22.8% from the FY 18 budget. Home improvement licenses are renewed on a biannual basis. As a result, this fee’s annual result alternates between low yield and high yield years. The upcoming fiscal year is expected to be a high yield year, accounting for the variance budget to budget. DCA handles about 10,000 home improvement licenses over its two-year cycle.
- The proposed budget includes \$1.5 million for fees associated the Weights & Measures Division, about \$200,000 more than the FY 18 budget. These fees are a function of the number of investigations conducted by staff.
- Taxi and limousine registration fees have remained flat budget to budget at \$276,435.
- The proposal increases the ATM registration fee budget by \$20,000 to be closer in line with historical actual revenues.
- The proposed health club license has been reduced to \$20,000 from \$50,000 in FY 18. The Administration introduced this fee in FY 17. The lower FY 19 target is closer in line with the FY 17 actuals.
- The various licensing fee is flat budget to budget at \$145,000.



**Expenses**

| Expense              | Historical         |                    | 2018               |                    | 2019               | Exec. vs. Adopted |              | Exec. vs. Projected |             |
|----------------------|--------------------|--------------------|--------------------|--------------------|--------------------|-------------------|--------------|---------------------|-------------|
|                      | 2016               | 2017               | NIFA Conf. Budget  | OLBR Projected     | Executive Budget   | Variance          | Percent      | Variance            | Percent     |
| Full-Time Headcount  | 34                 | 34                 | 33                 | 28                 | 30                 | (3)               | -9.1%        | 2                   | 7.1%        |
| Salaries             | \$2,099,138        | \$2,230,552        | \$2,344,383        | \$2,110,485        | \$2,218,601        | (\$125,782)       | -5.4%        | \$108,116           | 5.1%        |
| Equipment            | 1,096              | 4,858              | 12,356             | 12,356             | 33,500             | 21,144            | 171.1%       | 21,144              | 171.1%      |
| General Expenses     | 14,591             | 13,714             | 17,818             | 17,818             | 15,418             | (2,400)           | -13.5%       | (2,400)             | -13.5%      |
| Contractual Services | 0                  | 5,145              | 30,708             | 30,708             | 40,000             | 9,292             | 30.3%        | 9,292               | 30.3%       |
| <b>Total</b>         | <b>\$2,114,825</b> | <b>\$2,254,269</b> | <b>\$2,405,265</b> | <b>\$2,171,367</b> | <b>\$2,307,519</b> | <b>(\$97,746)</b> | <b>-4.1%</b> | <b>\$136,152</b>    | <b>6.3%</b> |

- The proposed expense budget is 4.1% less than the FY 18 NIFA Conformed Budget. Proposed expenses are 6.3%, or \$136,152 more than the latest projection.
  - The combination of OCA and TLC has resulted in a headcount reduction of 3 full-time employees budget to budget. Headcount is reduced, as both departments continue their integration. The proposal accounts for step adjustments owed to CSEA members. The proposal does not include a part-time head count for this pay pool and as the chart above demonstrates, there aren't any currently onboard.
- The FY 19 Proposed Budget eliminates savings of \$199,159 from the Voluntary Separation Incentive Payments and Legislative attrition.
- Proposed equipment expenses are up 171.1% to \$33,500 when compared to the FY 18 budget.
  - The equipment expense increase is due to a one-time increase to outfit Weight and Measure's vehicles with computer equipment. Currently, the inspectors issue inspection certificates and violations on paper, by hand.
- The FY 19 budget funds \$40,000 for contractual services a 30.3% increase over the previous budget.
  - The department uses the contractual services budget for judicial hearing officers, stenographers, and is exploring the services of a tow company for vehicle impoundment.

The Department of Human Services consists of 1) the Office of Mental Health, Chemical Dependency and Developmental Disabilities Services, 2) the Office of Aging, 3) the Office of the Physically Challenged and 4) the Office of Youth Services. The consolidation was intended to facilitate sharing and exchange of expertise, as well as skill and information between the Health and Human Services departments. Each former department keeps its identity through separate responsibility centers within the Department of Human Services.

| Expense                | Historical          |                     | 2018                |                     | 2019                | Exec. vs. Conformed |              | Exec. vs. Projected |             |
|------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|--------------|---------------------|-------------|
|                        | 2016                | 2017                | NIFA Conf. Budget   | OLBR Projected      | Executive Budget    | Variance            | Percent      | Variance            | Percent     |
| Full-Time Headcount    | 60                  | 59                  | 59                  | 53                  | 56                  | (3)                 | -5.1%        | 3                   | 5.7%        |
| Part-Time and Seasonal | 8                   | 8                   | 9                   | 39                  | 11                  | 2                   | 22.2%        | (28)                | -71.8%      |
| Salaries               | \$4,633,791         | \$5,031,638         | \$4,268,712         | \$4,245,471         | \$5,041,234         | \$772,522           | 18.1%        | \$795,763           | 18.7%       |
| Equipment              | 2,993               | 2,682               | 14,161              | 14,161              | 14,161              | 0                   | 0.0%         | 0                   | 0.0%        |
| General Expenses       | 1,265,059           | 1,375,257           | 1,134,463           | 1,134,463           | 1,296,041           | 161,578             | 14.2%        | 161,578             | 14.2%       |
| Contractual Services   | 25,274,956          | 25,536,677          | 25,723,817          | 26,529,329          | 28,657,991          | 2,934,174           | 11.4%        | 2,128,662           | 8.0%        |
| Inter-Dept. Charges    | 2,796,113           | 2,297,230           | 3,155,599           | 3,155,599           | 3,155,599           | 0                   | 0.0%         | 0                   | 0.0%        |
| <b>Total</b>           | <b>\$33,972,912</b> | <b>\$34,243,484</b> | <b>\$34,296,752</b> | <b>\$35,079,023</b> | <b>\$38,165,026</b> | <b>\$3,868,274</b>  | <b>11.3%</b> | <b>\$3,086,003</b>  | <b>8.8%</b> |

**Expenses**

- The FY 19 expense budget for the Department of Human Services is increasing by roughly \$3.9 million, or 11.3% budget to budget, and by 3.1 million, or 8.8% compared to the OLBR projection.
- FY 19 salaries has a growth of 18.1% compared to the prior year budget and 18.7% or, \$795,763 compared to OLBR’s current projection.
  - Since the current Collective Bargaining Agreements expired on December 31, 2017, the salary line only includes a step increase with no Cost of Living Adjustments (COLA) for CSEA employees
  - The FY 19 salaries do not include the credits of \$67,003 in Legislative Attrition and the \$772,646 in savings from the Voluntary Separation Incentive Payment (VSIP) that were included in last year’s budget.
  - Salaries allocable to grants saw a decline of \$0.4 million in the FY 19 Proposed Budget.
- The full-time headcount for FY 19 is declining by three positions budget to budget, and the part-time headcount is increasing by two positions.

**Expenses, Cont.**

- The equipment budget for FY 19 remains constant at \$14,161 from the prior year.
- General expenses are increasing by \$161,578 in FY 19 to \$1.3 million. This is attributed mainly to a growth in court remands. General expenses also include membership fees, office supplies, and traveling expenses.
- Court remands are \$1.2 million or 92.6% of the general expense budget, which represents an increase of \$161,568 for the Proposed FY 19 Budget. This growth is based on historical trends. The following text box will further explain the court remands process:

**Court Remands**

Court remands to Nassau NuHealth mandates individuals to receive a psychiatric evaluation as ordered by the Family Court. The Department bills the New York State Office of Mental Health (OMH) and receives 50% state aid reimbursement. The court order allows for a maximum of a thirty days stay at the hospital. Family Court will remand individuals to NuHealth for inpatient psychiatric evaluations in instances when the court believes that the individual is a danger to themselves or others.

Criminal Courts and occasionally Family Court will remand an individual to NYS psychiatric facilities. NYS OMH bills Human Services at 50% of the net cost. Most court orders are for a minimum of 90 days although some are for a maximum of one year.

- Contractual services budget for FY 19 has a growth of roughly \$2.9 million compared to the prior year budget of \$25.7 million. The program funding for the Offices within Human Services are illustrated in the charts on the following pages.
- Interdepartmental charges are allocated by the Office of Management and Budget (OMB). The budget for FY 19 is \$3.2 million, a figure that is consistent with the FY 18 NIFA Conformed Budget. The items that make up the bulk of the interdepartmental charges are building occupancy charges, indirect charges, information technology charges, telecommunication charges, and juvenile detention center charges.

**Expenses, Cont.**

| <b>Office of the Aging Contracts</b> |                                                         |                                |                                 |                                                      |
|--------------------------------------|---------------------------------------------------------|--------------------------------|---------------------------------|------------------------------------------------------|
| <b>Contract / Vendor</b>             | <b>Description of services</b>                          | <b>2018 NIFA<br/>Conformed</b> | <b>2019 Proposed<br/>Budget</b> | <b>2019 Proposed vs.<br/>2018 NIFA<br/>Conformed</b> |
| Human Service outside audit review   | Audits for aging, mental health and chemical dependency | 80,000                         | 75,523                          | (4,477)                                              |
| EISEP Blanket                        | EISEP                                                   | 2,500,000                      | 3,000,000                       | 500,000                                              |
| Catholic Charities                   | CSE                                                     | 648,402                        | 623,402                         | (25,000)                                             |
| Catholic Charities                   | Title IIIC-1 & Title IIIE                               | 686,457                        | 661,457                         | (25,000)                                             |
| Catholic Charities                   | EISEP                                                   | 1,276,409                      | 1,325,500                       | 49,091                                               |
| Catholic Charities                   | Title IIIC-2                                            | 1,218,689                      | 1,315,442                       | 96,753                                               |
| EAC                                  | CSI                                                     | 30,900                         | 30,900                          | 0                                                    |
| EAC                                  | Title IIIB, Title IIIC-1 & Title IIID                   | 677,337                        | 2,149,909                       | 1,472,572                                            |
| EAC                                  | Title IIIC-2                                            | 237,893                        | 2,015,443                       | 1,777,550                                            |
| EAC                                  | WIN (formerly SNAP) /EISEP (JASA)                       | 1,248,937                      | 300,000                         | (948,937)                                            |
| FCA                                  | Title IIIB Ombud, VII & LTCOP ( now combined see below  | 0                              | 0                               | 0                                                    |
| FCA                                  | EISEP                                                   | 977,353                        | 1,037,350                       | 59,997                                               |
| FCA                                  | Title IIIB SFC & HIICAP                                 | 363,301                        | 363,301                         | 0                                                    |
| FCA                                  | Title IIIC-1                                            | 211,121                        | 201,020                         | (10,101)                                             |
| FCA                                  | HEAP & SAFE                                             | 264,000                        | 330,000                         | 66,000                                               |
| FISH                                 | CSE                                                     | 14,999                         | 15,699                          | 700                                                  |
| Five Town                            | Title IIIC-1 ( New Horizon Took Over )                  | 0                              | 74,600                          | 74,600                                               |
| Glen Cove                            | Title IIIB, Title IIIC-1 & Title IIIE                   | 361,272                        | 357,802                         | (3,470)                                              |
| Great Neck                           | Title IIIB & Title IIIC-1                               | 243,669                        | 246,669                         | 3,000                                                |
| Herricks SC                          | CSE                                                     | 99,514                         | 99,514                          | 0                                                    |
| Herricks SD                          | Title IIIC-1 & Title IIIE                               | 186,634                        | 206,634                         | 20,000                                               |
| Hispanic Brotherhood                 | Title IIIC-1                                            | 47,348                         | 47,248                          | (100)                                                |
| EAC                                  | Long Beach ( Formally JASA )                            | 362,000                        | 0                               | (362,000)                                            |

**Expenses, Cont.**

| <b>Office of the Aging Contracts</b> |                                               |                                |                                 |                                                      |
|--------------------------------------|-----------------------------------------------|--------------------------------|---------------------------------|------------------------------------------------------|
| <b>Contract / Vendor</b>             | <b>Description of services</b>                | <b>2018 NIFA<br/>Conformed</b> | <b>2019 Proposed<br/>Budget</b> | <b>2019 Proposed vs.<br/>2018 NIFA<br/>Conformed</b> |
| EAC                                  | No. Merrick ( Formally JASA )                 | 535,286                        | 0                               | (535,286)                                            |
| EAC                                  | Title IIIC-2 ( Formally JASA )                | 340,078                        | 0                               | (340,078)                                            |
| JASA                                 | EISEP ( Now EAC Win/EISEP see EAC above       | 0                              | 0                               | 0                                                    |
| Life Enrichment                      | Title IIIB & Title IIIC-1                     | 206,517                        | 206,517                         | 0                                                    |
| LI Alzheimer                         | Title IIIE                                    | 100,245                        | 125,245                         | 25,000                                               |
| Nassau Suffolk Law                   | Title IIIB                                    | 237,953                        | 237,953                         | 0                                                    |
| New Horizon                          | Title IIIE & CSE ( Former Five Towns IIIC-1 ) | 507,149                        | 432,549                         | (74,600)                                             |
| Peninsula Counseling Center          | Title IIIE & CSE (New Horizon Took Over) )    | 0                              | 0                               | 0                                                    |
| Salvation Army                       | Title IIIB, Title IIIC-1 & Title IIIE         | 348,278                        | 347,188                         | (1,090)                                              |
| Salvation Army                       | WIN (formerly SNAP)                           | 82,345                         | 0                               | (82,345)                                             |
| Self Help                            | Replaces Sal Army                             | 0                              | 950,000                         | 950,000                                              |
| Sid Jacobson                         | Title IIIE                                    | 98,299                         | 98,299                          | 0                                                    |
| Visiting Nurse Assoc.                | WIN (formerly SNAP) see EAC                   | 0                              | 0                               | 0                                                    |
| Sr. Cit. of Westbury Inc             | Title IIIC-1                                  | 25,775                         | 25,775                          | 0                                                    |
| Harmony                              | CSE                                           | 0                              | 0                               | 0                                                    |
| Garden City Hotel                    | May Senior Conference & Luncheon              | 0                              | 0                               | 0                                                    |
| Milleridge                           | May Senior Conference & Luncheon              | 13,000                         | 14,000                          | 1,000                                                |
| RFP's OFA Programs                   | RFP required by Comptroller's MOW/Case Mgmt   | 340,112                        | 0                               | (340,112)                                            |
| <b>Total</b>                         |                                               | <b>14,571,272</b>              | <b>16,914,939</b>               | <b>2,343,667</b>                                     |

**Expenses, Cont.**

| <b>Office of Youth Services Contracts</b>                             |                            |                             |                                              |
|-----------------------------------------------------------------------|----------------------------|-----------------------------|----------------------------------------------|
| <b>Contract / Vendor</b>                                              | <b>2018 NIFA Conformed</b> | <b>2019 Proposed Budget</b> | <b>2019 Proposed vs. 2018 NIFA Conformed</b> |
| Advisory Council-(Mineola, New Hyde Park, Williston Park, Herricks)   | 0                          | 0                           | 0                                            |
| Belmont Child Care Asso                                               | 20,000                     | 20,000                      | 0                                            |
| Big Brothers / Sisters of LI                                          | 44,620                     | 44,620                      | 0                                            |
| Circulo de la Hispanidad-(Long Beach)                                 | 169,566                    | 169,566                     | 0                                            |
| City of Glen Cove Youth Bureau                                        | 70,887                     | 70,887                      | 0                                            |
| Community Parent Center- (Bellmore, Merrick)                          | 23,765                     | 23,765                      | 0                                            |
| Community Wellness Council - (Bellmores/Merrick)                      | 10,000                     | 10,000                      | 0                                            |
| Concerned Citizens for Roslyn Youth                                   | 143,923                    | 143,923                     | 0                                            |
| COPAY-(Great Neck)                                                    | 26,675                     | 26,675                      | 0                                            |
| EOC of Nassau County                                                  | 165,200                    | 165,200                     | 0                                            |
| EAC - Mediation Alternative Project                                   | 39,970                     | 39,970                      | 0                                            |
| FCA/Nassau Haven-(County wide)                                        | 391,320                    | 391,320                     | 0                                            |
| FCA/PACT (Parents and Children Together)-(West Hempstead, Long Beach) | 195,000                    | 195,000                     | 0                                            |
| FCA Probation                                                         | 357,490                    | 98,053                      | (259,437)                                    |
| FCA/Walkabout for Young Men & Women-(County wide)                     | 0                          | 357,490                     | 357,490                                      |
| Five Towns Community Center                                           | 411,894                    | 411,894                     | 0                                            |
| Floral Park Youth Council                                             | 0                          | 0                           | 0                                            |
| HELP (formerly Freeport Pride)                                        | 313,630                    | 373,630                     | 60,000                                       |
| Gateway Youth Outreach-(Elmont)                                       | 329,686                    | 395,071                     | 65,385                                       |
| Glen Cove Boys/girls club                                             | 40,549                     | 40,549                      | 0                                            |
| Hempstead Hispanic Civic Association-(North Hempstead)                | 32,770                     | 32,770                      | 0                                            |

Expenses, Cont.

| <b>Office of Youth Services Contracts</b>                          |                            |                             |                                              |
|--------------------------------------------------------------------|----------------------------|-----------------------------|----------------------------------------------|
| <b>Contract / Vendor</b>                                           | <b>2018 NIFA Conformed</b> | <b>2019 Proposed Budget</b> | <b>2019 Proposed vs. 2018 NIFA Conformed</b> |
| Hicksville Teen-Age Council-(Hicksville)                           | 156,861                    | 156,861                     | 0                                            |
| Hispanic Brotherhood of Rockville Centre-(RVC)                     | 87,383                     | 87,383                      | 0                                            |
| Hispanic Counseling Center-(Hempstead)                             | 162,970                    | 162,970                     | 0                                            |
| La Fuerza Unida-(Glen Cove)                                        | 133,866                    | 133,866                     | 0                                            |
| Littig House Community Center-(Port Washington)                    | 165,751                    | 165,751                     | 0                                            |
| Littig House Community Center-(Roosevelt)                          | 270,000                    | 280,000                     | 10,000                                       |
| Long Beach REACH combined-(LB)                                     | 401,140                    | 401,140                     | 0                                            |
| Long Beach REACH (Westbury/New Cassel)                             | 241,602                    | 241,602                     | 0                                            |
| Long Island Advocacy-(County wide)                                 | 107,027                    | 107,027                     | 0                                            |
| Long Island Crisis Center RHY                                      | 122,020                    | 122,020                     | 0                                            |
| Long Beach Martin Luther King Center-(Long Beach)                  | 180,000                    | 180,000                     | 0                                            |
| Manhasset / Great Neck E.O.C.                                      | 98,386                     | 98,386                      | 0                                            |
| Mentoring USA Long Beach                                           | 0                          | 0                           | 0                                            |
| Mineola School District                                            | 0                          | 0                           | 0                                            |
| Nassau County Coalition Against Domestic Violence-(County wide)    | 0                          | 0                           | 0                                            |
| North Shore Boys and Girls Club-(Glen Head)                        | 20,000                     | 0                           | (20,000)                                     |
| Project Challenge                                                  | 0                          | 0                           | 0                                            |
| RFP's non service areas                                            | 150,000                    | 0                           | (150,000)                                    |
| Resource Direct - NYS YS entry system                              | 13,000                     | 0                           | (13,000)                                     |
| The Safe Center                                                    | 57,535                     | 57,535                      | 0                                            |
| Seaford Wellness Ctr                                               | 0                          | 0                           | 0                                            |
| STRONG Youth-(Uniondale, Roosevelt, Hempstead)                     | 218,250                    | 218,250                     | 0                                            |
| Tempo Youth Services                                               | 30,875                     | 30,875                      | 0                                            |
| Time Out Club of Hempstead-(South Hempstead)                       | 0                          | 176,540                     | 176,540                                      |
| Uniondale Community Counseling Center                              | 163,682                    | 163,682                     | 0                                            |
| YES Community Counseling Center-(Massapequa, Plainview, Plainedge) | 477,455                    | 477,455                     | 0                                            |
| Youth & Family Counseling-(Oyster Bay/East Norwich)                | 69,840                     | 69,840                      | 0                                            |
| <b>Total</b>                                                       | <b>6,114,588</b>           | <b>6,341,566</b>            | <b>226,978</b>                               |

Expenses, Cont.

| <b>Office of Mental Health, Chemical Dependency and Development Disabilities Contracts</b> |                                |                                 |                                                      |
|--------------------------------------------------------------------------------------------|--------------------------------|---------------------------------|------------------------------------------------------|
| <b>Contract / Vendor</b>                                                                   | <b>2018 NIFA<br/>Conformed</b> | <b>2019 Proposed<br/>Budget</b> | <b>2019 Proposed<br/>vs. 2018 NIFA<br/>Conformed</b> |
| FEGS Mental Hygiene Court                                                                  | 0                              | 0                               | 0                                                    |
| Mental Health Association of Nassau County                                                 | 303,810                        | 301,555                         | (2,255)                                              |
| Nassau Health Care Corporation                                                             | 1,006,466                      | 1,173,539                       | 167,073                                              |
| South Shore Child Guidance                                                                 | 50,000                         | 50,100                          | 100                                                  |
| The Rehabilitation Institute                                                               | 50,000                         | 50,100                          | 100                                                  |
| Advocacy/Support Services                                                                  | 0                              | 0                               | 0                                                    |
| Assessment and Review                                                                      | 0                              | 0                               | 0                                                    |
| Behavioral Wellness center                                                                 | 0                              | 0                               | 0                                                    |
| Angelo Mellilo Center for Mental Health                                                    | 50,000                         | 50,100                          | 100                                                  |
| Catholic Charities                                                                         | 0                              | 0                               | 0                                                    |
| Central Nassau Guidance                                                                    | 50,000                         | 50,100                          | 100                                                  |
| Federation Employment Guidance Services (FEGS.)                                            | 0                              | 0                               | 0                                                    |
| Hispanic Counseling Center                                                                 | 50,000                         | 50,100                          | 100                                                  |
| Long Island Crisis Center                                                                  | 277,374                        | 278,692                         | 1,318                                                |
| North Shore Child & Family Guidance Center                                                 | 50,000                         | 50,100                          | 100                                                  |
| North Shore Child & Family Guidance                                                        | 830,177                        | 830,177                         | 0                                                    |
| South Nassau Hospital                                                                      | 100,200                        | 100,200                         | 0                                                    |
| Richard Remauro                                                                            | 0                              | 80,640                          | 80,640                                               |



Expenses, Cont.

| <b>Office of Mental Health, Chemical Dependency and Development Disabilities Contracts</b> |                                |                                 |                                                      |
|--------------------------------------------------------------------------------------------|--------------------------------|---------------------------------|------------------------------------------------------|
| <b>Contract / Vendor</b>                                                                   | <b>2018 NIFA<br/>Conformed</b> | <b>2019 Proposed<br/>Budget</b> | <b>2019 Proposed<br/>vs. 2018 NIFA<br/>Conformed</b> |
| Family & Children's Association                                                            | 527,034                        | 527,034                         | 0                                                    |
| Maryhaven Center of Hope                                                                   | 109,620                        | 109,620                         | 0                                                    |
| Wantagh School District                                                                    | 37,000                         | 37,000                          | 0                                                    |
| Assoc. for Children with Learning Disabilities                                             | 56,217                         | 56,217                          | 0                                                    |
| Assoc. for the Help for Retarded Children                                                  | 630,098                        | 630,098                         | 0                                                    |
| United Cerebral Palsy of Assoc. of Nassau County                                           | 81,936                         | 81,936                          | 0                                                    |
| <b>Subtotal</b>                                                                            | <b>4,259,932</b>               | <b>4,507,308</b>                | <b>247,376</b>                                       |
| Court Remands Family Court (NHCC)                                                          | 358,366                        | 429,613                         | 71,247                                               |
| <b>Medical /Psychiatric Services</b>                                                       | <b>419,659</b>                 | <b>464,565</b>                  | <b>44,906</b>                                        |
| <b>Total Mental Health</b>                                                                 | <b>5,037,957</b>               | <b>5,401,486</b>                | <b>363,529</b>                                       |
| <b>Grand Total for Department of Human Services Contracts</b>                              | <b>25,723,817</b>              | <b>28,657,991</b>               | <b>2,934,174</b>                                     |

| Revenue                | Historical          |                     | 2018                |                     | 2019                | Exec. vs. Conformed |             | Exec. vs. Projected |             |
|------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|-------------|---------------------|-------------|
|                        | 2016                | 2017                | NIFA Conf. Budget   | OLBR Projected      | Executive Budget    | Variance            | Percent     | Variance            | Percent     |
| Fines & Forfeits       | \$13,783            | \$18,443            | \$16,500            | \$16,500            | \$16,500            | \$0                 | 0.0%        | \$0                 | 0.0%        |
| Rents & Recoveries     | 516,194             | 1,101,132           | 20,000              | 20,000              | 20,000              | 0                   | 0.0%        | 0                   | 0.0%        |
| Dept Revenues          | 440                 | 200                 | 0                   | 0                   | 0                   | 0                   | *****       | 0                   | *****       |
| Interdept Revenues     | 0                   | 0                   | 100,000             | 100,000             | 100,000             | 0                   | 0.0%        | 0                   | 0.0%        |
| Interfund Charges Rev  | 100,000             | 1,100,000           | 100,000             | 100,000             | 100,000             | 0                   | 0.0%        | 0                   | 0.0%        |
| Fed Aid-Reimb of Exp   | 5,381,890           | 5,618,942           | 5,070,954           | 5,070,954           | 4,933,310           | (137,644)           | -2.7%       | (137,644)           | -2.7%       |
| State Aid-Reimb of Exp | 11,483,158          | 10,050,555          | 10,974,641          | 10,974,641          | 11,253,031          | 278,390             | 2.5%        | 278,390             | 2.5%        |
| <b>Total</b>           | <b>\$17,495,465</b> | <b>\$17,889,272</b> | <b>\$16,282,095</b> | <b>\$16,282,095</b> | <b>\$16,422,841</b> | <b>\$140,746</b>    | <b>0.9%</b> | <b>\$140,746</b>    | <b>0.9%</b> |

**Revenue**

- The Proposed FY 19 revenue budget is rising to \$16.4 million, a growth of \$140,746 or 0.9% compared to both the prior year budget and the OLBR projection. This is primarily due to increases in state aid, offset by a decrease in federal aid (the NYS Pass Thru Federal Funds reimbursement).
- Fines and forfeits for FY 19 remains flat at \$16,500 budget to budget. This revenue represents the department’s handicapped parking fine surcharge.
- The Proposed FY 19 Budget allocates \$20,000 for lost and abandoned property within rents and recoveries. This is a consistent figure compared to the FY 18 NIFA Conformed Budget.
- The interdepartmental revenue for FY 19 remains constant at \$100,000. It represents the referral of services from the Office of the Aging to the Department of Social Services (DSS). The department receives funding from DSS for Title XX (Social Security Block Grant).
- The Administration has allocated \$100,000 for interfund revenue, which is derived from a Grant Fund transfer.
- The FY 19 Proposed budget for federal aid is decreasing by \$137,644 or 2.7%. The drop in federal aid is due to decreases in funding from the New York State Office for the Aging (NYSOFA).
- State aid is rising by \$278,390 or 2.5% compared to FY 18. The rise in state aid is due to increased reimbursements for services to the elderly and local mental health assistance.
- The department has provided the information in the following text boxes to explain the key programs that are sponsored by state funding.

**Funding Sources**

**Office of Mental Health, Chemical Dependency and Developmental Disabilities Services (OMHCDDS)**

The Office of Mental Health, Chemical Dependency and Developmental Disabilities functions as the Local Government Unit under the provisions described in Article 41 of New York State Mental Hygiene Law. The Office has the local responsibility for the planned care, treatment and rehabilitation of individuals diagnosed with mental illness, chemical dependency, and developmental disabilities.

The Office is also responsible for the development of a coordinated system of services that enables those with a mental illness, chemical dependency or developmental disability to maximize their ability to live safely and successfully in the community. The Office is dedicated to ensuring the highest quality of behavioral health services in an environment that recognizes and accommodates the diversity of its clients’ linguistic and cultural background. The Office establishes and maintains systems of accountability among community-based service providers and local hospitals to ensure that performance objectives are met, resources are appropriately allocated, services are coordinated, and access is available to all residents.

Resources are targeted to individuals who meet the NYS criteria for serious mental illness, substance use disorder or developmental disability.

*Source: Department of Human Services*

**Office of the Aging (OFA)**

The office receives State and Federal funding from the New York State Office for the Aging (NYSOFA) based on formulas that reflect the senior population in the County. The funding is allocated to nonprofit agencies in Nassau County to provide direct person-centered services to seniors in order to help them remain active and productive in their community and living at home with dignity for as long as possible. These services include senior centers, congregate meals, home delivered meals, disease prevention and health promotion, case management, in home services, adult social day programs and caregiver services; along with other support services.

In addition, the office is funded to provide information and assistance services through our helpline to assist older adults.

*Source: Department of Human Services*

**Funding Sources**

**Office of Youth Services (OYS)**

The Youth Development Program (YDP) is a NYS Office of Children and Family Services (OCFS) funding source allocated to Counties and distributed to local Youth Bureaus to meet locally identified needs. This allocation includes a small reimbursable amount for administrative salaries. A local match is no longer required to ensure that YDP leverages significant contributions from other sources. The 2017 Youth Development allocation will be based on the Nassau County 0 to 21-year-old population as well as community poverty indicators. For the County, the 2010 U.S. Census has a total of 361,403 youths; however, the inclusion of a “notwithstanding clause” gives the State the ability to change the rate of the allocation from year to year. The County can expect 100% reimbursement on this funding.

*Source: Department of Human Services*

**Office of Youth Services (OYS)**

The Runaway and Homeless Youth Act (RHYA) provides 60% state aid reimbursement to Nassau County shelters and programs for runaway and homeless youth. The annual allocation is not formula driven, but instead a share of New York State’s total allocation. Nassau County receives approximately 9% of the statewide allocation.

*Source: Department of Human Services*

**Office for the Physically Challenged (OPC)**

The Office for the Physically Challenged (OPC) functions as a service provider and advocacy body on behalf of the nearly 250,000 functionally disabled individuals in Nassau County.

Key duties of the office include, 1) Administration of the NYS Accessible Parking Permit Program 2) Coordination of the Handicapped Parking- Volunteer Enforcement Program 3) Educational out-reach to schools, community groups, Chambers of Commerce, local government and service organizations; 4) Participation in a variety of regional advisory boards and committees, including transportation, design standards, education, employment, housing and ADA enforcement.

*Source: Department of Human Services*

The mission of the Department of Social Services (DSS) is to provide supportive services and financial assistance to eligible individuals and families in Nassau County, consistent with federal and state law.

| Expense                | Historical           |                      | 2018                 |                      | 2019                 | Exec. vs. Conformed |             | Exec. vs. Projected |             |
|------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|---------------------|-------------|---------------------|-------------|
|                        | 2016                 | 2017                 | NIFA Conf. Budget    | OLBR Projected       | Executive Budget     | Variance            | Percent     | Variance            | Percent     |
| Full-Time Headcount    | 601                  | 615                  | 594                  | 577                  | 601                  | 7                   | 1.2%        | 24                  | 4.2%        |
| Part-Time and Seasonal | 70                   | 82                   | 93                   | 73                   | 93                   | 0                   | 0.0%        | 20                  | 27.4%       |
| Salaries               | \$45,951,744         | \$48,795,662         | \$47,764,925         | \$45,415,797         | \$47,494,583         | (\$270,342)         | -0.6%       | \$2,078,786         | 4.6%        |
| Equipment              | 138,365              | 14,134               | 18,880               | 18,880               | 11,720               | (7,160)             | -37.9%      | (7,160)             | -37.9%      |
| General Expenses       | 690,046              | 694,512              | 754,164              | 754,164              | 642,000              | (112,164)           | -14.9%      | (112,164)           | -14.9%      |
| Contractual Services   | 7,061,985            | 7,452,365            | 6,681,041            | 6,915,877            | 6,887,790            | 206,749             | 3.1%        | (28,087)            | -0.4%       |
| Inter-Dept. Charges    | 13,103,952           | 13,412,836           | 17,454,553           | 17,454,553           | 17,454,553           | 0                   | 0.0%        | 0                   | 0.0%        |
| Recipient Grants       | 55,760,126           | 52,090,497           | 55,650,000           | 50,910,000           | 52,130,000           | (3,520,000)         | -6.3%       | 1,220,000           | 2.4%        |
| Purchased Services     | 67,507,226           | 67,822,234           | 67,583,171           | 67,583,171           | 68,587,875           | 1,004,704           | 1.5%        | 1,004,704           | 1.5%        |
| Emerg Vendor Payments  | 42,797,627           | 48,249,523           | 47,025,000           | 47,170,000           | 49,360,000           | 2,335,000           | 5.0%        | 2,190,000           | 4.6%        |
| Medicaid               | 237,423,889          | 233,191,869          | 237,685,256          | 239,192,350          | 242,521,767          | 4,836,511           | 2.0%        | 3,329,417           | 1.4%        |
| <b>Total</b>           | <b>\$470,434,850</b> | <b>\$471,723,631</b> | <b>\$480,616,990</b> | <b>\$475,414,792</b> | <b>\$485,090,288</b> | <b>\$4,473,298</b>  | <b>0.9%</b> | <b>\$9,675,496</b>  | <b>2.0%</b> |

**Expenses**

- The proposed expense budget for the Department of Social Services (DSS) is \$485.1 million.
- The proposed budget is about 2.0% greater than OLBR’s latest projection. Rising costs for Medicaid, salaries, and Direct Assistance (emergency vendor payments, recipient grants, and purchased services) are driving the \$9.7 million variance.
  - The FY 19 Proposed Budget is \$4.5 million higher than the FY 18 NIFA conformed budget mainly due to higher Medicaid cost.
- The Proposed salaries decrease 0.6% budget to budget and are increasing \$2.1 million above the latest projection. To keep cost down in FY 18, the department has successfully shuffled positions and is projected to have a salary surplus. The lower starting salary point has made it possible to absorb the additional positions and the step increases that unions employees will be entitled to in the proposed budget with only a \$2.1 million increase in salaries.
- The allocation for equipment has decreased nominally due to reductions in office furniture/furnishing and miscellaneous equipment costs.
- The FY19 proposal for general expenses declined by \$112,164 budget to budget and from the latest projection. The majority of the change is attributable to a \$90,668 decrease in miscellaneous supplies and expenses.

**Expenses, cont.**

- Inter-departmental charges remain flat at \$17.5 million.
- The proposed budget includes \$4.8 million more for Medicaid, a 2.0% increase from the FY 18 NIFA Conformed budget. The budget to budget growth is concentrated in indigent care and in the County share. The State in FY 18-19 increased the County’s weekly Medicaid payments.
  - A summary of DSS’s major programs is included at the end of the expense section.
  - The proposal eliminates \$0.7 million from the Safety Net Assistance Control Center and \$1.0 million from the Family Assistance Control Centers. These control centers house the Safety Net Assistance (SNA) and Temporary Assistance to Needy Families (TANF) public assistance programs, respectively.
  - The FY 19 budget reduces \$1.4 million from the Public Financial Assistance Control Center. DSS’s interdepartmental charges are handled out of this control center.
  - The Administration allocated an additional \$4.8 million to the Medicaid MMIS Control Center, reflecting the previously mentioned increase of indigent care costs and weekly payments.
  - The proposed budget increases the Title XX Control Center’s expenses by about \$1.0 million or 1.5% from the prior year budget. Title XX Homemaker Services provide cooking, shopping, and cleaning services to eligible individuals with limited resources.

| Expenses by Control Center |              |              |                   |              |                     |             |
|----------------------------|--------------|--------------|-------------------|--------------|---------------------|-------------|
| (\$'s in millions)         |              |              |                   |              |                     |             |
| Control Center             | Historical   |              | 2018              | 2019         | Exec. vs. Conformed |             |
|                            | 2016         | 2017         | NIFA Conf. Budget | Exec. Budget | Variance            | %           |
| Family Assistance          | \$25.0       | \$23.6       | \$25.9            | \$24.9       | -\$1.0              | -3.9%       |
| Subsidized Adoptions       | 4.7          | 4.6          | 4.8               | 5.0          | 0.2                 | 3.1%        |
| Juvenile Delinquents       | 2.3          | 2.0          | 2.3               | 2.0          | -0.3                | -11.1%      |
| Training Schools           | -1.3         | 3.5          | 2.0               | 2.8          | 0.8                 | 37.5%       |
| Educ Handicapped Child     | 15.2         | 16.0         | 16.0              | 16.5         | 0.5                 | 3.1%        |
| Child. Foster Homes - IVE  | 0.8          | 0.9          | 1.1               | 0.9          | -0.2                | -20.5%      |
| Burials                    | 0.2          | 0.2          | 0.3               | 0.3          | 0.0                 | 4.0%        |
| Medicaid MMIS              | 237.4        | 233.2        | 237.7             | 242.5        | 4.8                 | 2.0%        |
| HEAP                       | 0.4          | 0.3          | 0.4               | 0.4          | 0.0                 | 0.0%        |
| Title XX                   | 67.5         | 67.8         | 67.6              | 68.6         | 1.0                 | 1.5%        |
| Administration             | 18.9         | 19.7         | 23.5              | 23.8         | 0.3                 | 1.4%        |
| Public Financial Assis.    | 26.2         | 27.4         | 26.5              | 25.1         | -1.4                | -5.3%       |
| Division of Services       | 21.8         | 23.2         | 22.7              | 23.6         | 0.9                 | 4.0%        |
| Safety Net Assistance      | 39.8         | 37.5         | 38.0              | 37.3         | -0.7                | -1.8%       |
| Children in Institutions   | 9.9          | 10.2         | 10.2              | 9.8          | -0.5                | -4.4%       |
| Children in Foster Homes   | 1.1          | 1.0          | 1.2               | 1.2          | 0.0                 | 2.5%        |
| Non Secure Detention       | 0.6          | 0.6          | 0.6               | 0.6          | 0.0                 | 0.0%        |
| <b>Total</b>               | <b>470.4</b> | <b>471.7</b> | <b>480.6</b>      | <b>485.1</b> | <b>4.5</b>          | <b>0.9%</b> |

**Expenses, cont.**

**Direct Assistance**

- Recipient grants, purchased services, and emergency vendor payments account for about 35.1% of DSS’s proposed expense budget. These costs are associated with several Social Service programs, such as Temporary Assistance to Needy Families (TANF) and Safety Net Assistance (SNA). As the table below demonstrates, direct assistance expense is decreasing by \$0.2 million budget to budget. A discussion of each category follows the table.

| <b>Direct Assistance</b>  |                                |                                     |                    |
|---------------------------|--------------------------------|-------------------------------------|--------------------|
| <b>Expense</b>            | <b>2018 NIFA<br/>Conformed</b> | <b>2019<br/>Proposed<br/>Budget</b> | <b>Variance</b>    |
| Recipient Grants          | \$55,650,000                   | \$52,130,000                        | (\$3,520,000)      |
| Purchased Services        | 67,583,171                     | 68,587,875                          | 1,004,704          |
| Emergency Vendor Payments | 47,025,000                     | 49,360,000                          | 2,335,000          |
| <b>Total</b>              | <b>\$170,258,171</b>           | <b>\$170,077,875</b>                | <b>(\$180,296)</b> |

- A large part of recipient grant funding is used to make payments to DSS clients eligible for TANF and SNA benefits. Recipient grant expenses in the FY 19 proposal are down 6.3% budget to budget, around \$3.5 million.
  - TANF cases declined 12.9% from August 2017 to August 2018. SNA cases have fallen 10.8% during this time. The decrease in recipient grant expenses budget to budget reflects the steep decline in TANF and SNA cases.
- The proposal is about 2.7% higher than the latest projection, as the Administration reserved some cushion if FY 19 TANF and SNA caseloads increase. The County’s TANF and SNA caseload fluctuates year to year and is subject to several variables outside the County’s control.

**Expenses, cont.**

**Direct Assistance, cont.**

- Emergency vendor payments are made to cover a variety of services rendered to eligible DSS clients. Among these services are shelter care and utility payments. Emergency vendor payments FY 19 budget is increasing to \$49.4 million, up \$2.3 million budget to budget. This is primarily due to a 5.0% increase in homeless caseloads and other primary assistance related expenses. As a result, according to the department, the County’s growing homeless population drove a \$2.0 million increase in shelter care costs.
- Purchased services are payments made to independent agencies that provide DSS clients with a variety of services such as day care, adult and child protective services, foster care, and other preventive services. The proposed budget for FY 19 is growing by \$1.0 million compared to the prior year budget. This is mostly due to increases in family and group daycare costs offset by homemaking and preventive mandated projects expenses.
  - At \$62.5 million, daycare expenses account for most of the proposed FY 19 purchases services budget. The proposal is \$1.2 million more than the FY 18 daycare budget and the latest projection, demonstrated in the chart below. The FY 19 budget is projecting a stable day care caseload. However, a market rate increase in day care rates is expected sometime in 2019.
  - Over the last few years, DSS has implemented several measures to bring daycare costs under control, such as adjusting the eligibility requirement from 275% of the federal poverty level to 200%. While there is only a slight cushion to account for potential caseload growth in FY 19, DSS believes the budget will meet daycare demand.

| Year    | Children in Daycare Count as of August 31 | Adopted Daycare Services Budget | Year End Expense* |
|---------|-------------------------------------------|---------------------------------|-------------------|
| FY2011  | 5,113                                     | 50,050,000                      | 55,100,336        |
| FY2012  | 6,139                                     | 49,656,400                      | 58,618,658        |
| FY2013  | 5,659                                     | 52,000,000                      | 57,433,441        |
| FY2014  | 5,893                                     | 53,500,000                      | 58,459,627        |
| FY2015  | 5,560                                     | 60,904,184                      | 60,944,363        |
| FY2016  | 5,799                                     | 59,404,184                      | 60,848,145        |
| FY2017  | 6,016                                     | 61,204,184                      | 61,389,436        |
| FY2018* | 5,592                                     | 61,304,184                      | 61,304,184        |

*\*FY18 is the current year end projection*



**Expenses, cont.**

**Medicaid**

- The proposed budget includes \$4.8 million more for Medicaid, a 2.0% increase from the prior year budget. As the chart below demonstrates, the budget to budget growth is concentrated in two budget lines for County Share and Indigent Care.
  - The County Share increased by \$2.2 million because the State’s FY 18-19 budget increased the County’s weekly Medicaid payments.
  - The Indigent Care expenses have also increased by \$2.9 million in the proposal. According to the Department as per New York State (NYS) Department of Health (DOH) the annual Indigent Care Adjustment (ICA) expense in the beginning of the calendar year (FY 19 Proposal) is based on the prior year actuals.
  - Health insurance premiums are declining for the FY 19 proposal due the payment responsibilities shifting to NYS DOH.

| <b>Medicaid Expenses</b>  |                                |                                 |                    |
|---------------------------|--------------------------------|---------------------------------|--------------------|
| <b>Expense</b>            | <b>2018 NIFA<br/>Conformed</b> | <b>2019 Proposed<br/>Budget</b> | <b>Variance</b>    |
| County Share              | \$226,330,936                  | \$228,555,027                   | \$2,224,091        |
| Health Insurance Premiums | 550,000                        | 260,000                         | (290,000)          |
| Home Aid Services         | 50,000                         | 50,000                          | -                  |
| Indigent Care             | 10,660,320                     | 13,566,740                      | 2,906,420          |
| Nursing Home Care         | 80,000                         | 80,000                          | -                  |
| Physicians' Services      | 5,000                          | 5,000                           | -                  |
| Transportation            | 9,000                          | 5,000                           | (4,000)            |
| <b>Total</b>              | <b>\$237,685,256</b>           | <b>\$242,521,767</b>            | <b>\$4,836,511</b> |

**Expenses, Cont.**

- The Proposed FY 19 Budget has a full-time headcount of 601 employees. This headcount is 24 more than the September 1, 2018 actual and seven more than the FY 18 NIFA Conformed Budget.
- The proposed budget makes a few staffing changes relative to the current on-board. Highlights include the following changes:
  - Administration Control Center: The FY 19 staffing plan included four Administrative Assistant positions in the Support Services Responsibility Center (RC) compared to the current on-board headcount.
  - Public Financial Assistance Control Center: The proposal eliminates nine positions from the previous year budget but adds one position from the September 1st actual on board.
  - Services Control Center: The proposal adds 18 positions to this control center from September 1<sup>st</sup> actual. Three in Child Preventive Services, four in Child Protective Services, six in the Children’s Services and five in Daycare Services.

| Staffing Analysis - Full Time             |                               |                          |                         |                           |                              |                           |
|-------------------------------------------|-------------------------------|--------------------------|-------------------------|---------------------------|------------------------------|---------------------------|
|                                           | FY18 NIFA<br><u>Conformed</u> | Sept-18<br><u>Actual</u> | FY 19<br><u>Request</u> | FY 19<br><u>Executive</u> | Exec. vs<br><u>Conformed</u> | Exec. vs<br><u>Actual</u> |
| <b><u>Administration</u></b>              |                               |                          |                         |                           |                              |                           |
| Accounting                                | 0                             | 1                        | 0                       | 0                         | 0                            | (1)                       |
| Administration                            | 9                             | 9                        | 10                      | 10                        | 1                            | 1                         |
| Legal                                     | 3                             | 3                        | 4                       | 4                         | 1                            | 1                         |
| Staff Development                         | 5                             | 4                        | 5                       | 5                         | 0                            | 1                         |
| Support Services                          | 26                            | 27                       | 31                      | 31                        | 5                            | 4                         |
| Systems Administration                    | 9                             | 9                        | 8                       | 8                         | (1)                          | (1)                       |
| <b>Total for Control Center</b>           | <b>52</b>                     | <b>53</b>                | <b>58</b>               | <b>58</b>                 | <b>6</b>                     | <b>5</b>                  |
| <b><u>Public Financial Assistance</u></b> |                               |                          |                         |                           |                              |                           |
| Accounting                                | 21                            | 20                       | 20                      | 20                        | (1)                          | 0                         |
| Cnty. Rtls. & Hsng.                       | 19                            | 26                       | 28                      | 27                        | 8                            | 1                         |
| Disabled Client Assistance                | 8                             | 7                        | 8                       | 8                         | 0                            | 1                         |
| Employment Program                        | 9                             | 7                        | 8                       | 8                         | (1)                          | 1                         |
| Food Stamps                               | 19                            | 21                       | 21                      | 20                        | 1                            | (1)                       |
| Investigations and Recoveries             | 9                             | 9                        | 9                       | 9                         | 0                            | 0                         |
| Medical Assistance                        | 48                            | 21                       | 16                      | 16                        | (32)                         | (5)                       |
| Medical Services                          | 4                             | 4                        | 4                       | 4                         | 0                            | 0                         |
| Public Assistance                         | 84                            | 96                       | 96                      | 95                        | 11                           | (1)                       |
| Support Collection Unit                   | 37                            | 39                       | 45                      | 42                        | 5                            | 3                         |
| Support Services                          | 8                             | 6                        | 8                       | 8                         | 0                            | 2                         |
| <b>Total for Control Center</b>           | <b>266</b>                    | <b>256</b>               | <b>263</b>              | <b>257</b>                | <b>(9)</b>                   | <b>1</b>                  |
| <b><u>Services</u></b>                    |                               |                          |                         |                           |                              |                           |
| Adult Protective Services                 | 29                            | 25                       | 25                      | 25                        | (4)                          | 0                         |
| Child Preventive Services                 | 52                            | 44                       | 47                      | 47                        | (5)                          | 3                         |
| Child Protective Services                 | 116                           | 117                      | 126                     | 121                       | 5                            | 4                         |
| Children's Services                       | 63                            | 58                       | 65                      | 64                        | 1                            | 6                         |
| Day Care Services                         | 16                            | 24                       | 29                      | 29                        | 13                           | 5                         |
| Provider Services                         | 0                             | 0                        | 0                       | 0                         | 0                            | 0                         |
| <b>Total for Control Center</b>           | <b>276</b>                    | <b>268</b>               | <b>292</b>              | <b>286</b>                | <b>10</b>                    | <b>18</b>                 |
| <b>Grand Total</b>                        | <b>594</b>                    | <b>577</b>               | <b>613</b>              | <b>601</b>                | <b>7</b>                     | <b>24</b>                 |

**Expenses, Cont.**

- The proposed budget has a part-time headcount of 93 employees. This headcount is 20 more than the September 1, 2018 actual and unchanged budget to budget. The proposed budget makes several part-time staffing changes relative to the current on-board. Highlights include the following changes:
  - Administration Control Center: The FY 18 staffing plan eliminates four staff in the Administration RC from the on-board.
  - Public Financial Assistance Control Center: The proposal adds 16 positions to this control center from September actual.
  - Services Control Center: The FY 19 Proposed budget includes an additional eight positions in the Child Protective Services RC compared to on-board.
- The department reports that it has shifted toward hiring part-time clerical staff, as its found difficulty filling full-time clerical positions due to low starting salaries. The FY 19 part-time staffing plan reflects this reality.

| Staffing Analysis - Part-Time             |                               |                          |                         |                           |                              |                           |
|-------------------------------------------|-------------------------------|--------------------------|-------------------------|---------------------------|------------------------------|---------------------------|
|                                           | FY18 NIFA<br><u>Conformed</u> | Sept-18<br><u>Actual</u> | FY 19<br><u>Request</u> | FY 19<br><u>Executive</u> | Exec. vs<br><u>Conformed</u> | Exec. vs<br><u>Actual</u> |
| <b><u>Administration</u></b>              |                               |                          |                         |                           |                              |                           |
| Administrative                            | 1                             | 0                        | 0                       | 0                         | (1)                          | 0                         |
| Staff Development                         | 1                             | 1                        | 1                       | 1                         | 0                            | 0                         |
| Public Assistance                         | 0                             | 1                        | 0                       | 0                         | 0                            | (1)                       |
| Support Services                          | 11                            | 8                        | 5                       | 5                         | (6)                          | (3)                       |
| Systems Administration                    | 2                             | 2                        | 2                       | 2                         | 0                            | 0                         |
| <b>Total for Control Center</b>           | <b>15</b>                     | <b>12</b>                | <b>8</b>                | <b>8</b>                  | <b>(7)</b>                   | <b>(4)</b>                |
| <b><u>Public Financial Assistance</u></b> |                               |                          |                         |                           |                              |                           |
| Accounting                                | 1                             | 2                        | 3                       | 3                         | 2                            | 1                         |
| Adult Protective Services                 | 0                             | 1                        | 0                       | 0                         | 0                            | (1)                       |
| Community Relations & Housing             | 7                             | 5                        | 7                       | 7                         | 0                            | 2                         |
| Disabled Client Assistance Program (DCAP) | 4                             | 4                        | 4                       | 4                         | 0                            | 0                         |
| Employment Program                        | 1                             | 1                        | 0                       | 0                         | (1)                          | (1)                       |
| Food Stamps                               | 4                             | 1                        | 6                       | 6                         | 2                            | 5                         |
| Medical Assistance                        | 6                             | 3                        | 6                       | 6                         | 0                            | 3                         |
| Public Assistance                         | 12                            | 11                       | 17                      | 17                        | 5                            | 6                         |
| Support Collection Unit                   | 4                             | 3                        | 4                       | 4                         | 0                            | 1                         |
| Support Services                          | 1                             | 1                        | 1                       | 1                         | 0                            | 0                         |
| <b>Total for Control Center</b>           | <b>40</b>                     | <b>32</b>                | <b>48</b>               | <b>48</b>                 | <b>8</b>                     | <b>16</b>                 |
| <b><u>Services</u></b>                    |                               |                          |                         |                           |                              |                           |
| Child Preventive Services                 | 1                             | 1                        | 1                       | 1                         | 0                            | 0                         |
| Child Protective Services                 | 26                            | 17                       | 25                      | 25                        | (1)                          | 8                         |
| Children's Services                       | 5                             | 5                        | 5                       | 5                         | 0                            | 0                         |
| Day Care Services                         | 6                             | 4                        | 6                       | 6                         | 0                            | 2                         |
| Public Assistance                         | 0                             | 2                        | 0                       | 0                         | 0                            | (2)                       |
| <b>Total for Control Center</b>           | <b>38</b>                     | <b>29</b>                | <b>37</b>               | <b>37</b>                 | <b>(1)</b>                   | <b>8</b>                  |
| <b>Total Part Time</b>                    | <b>93</b>                     | <b>73</b>                | <b>93</b>               | <b>93</b>                 | <b>0</b>                     | <b>20</b>                 |

**Expenses, Cont.**

- Proposed contractual expenses are rising by 3.1%, or \$206,749, budget to budget and from OLBR’s latest projection declining by a minimal \$28,087 (depicted below and on the following page).
- A number of contract expenses have increased for the FY 19 proposal; however, these rises are partially offset by the NUMC Nurses (PCA/DCAP) contract. As per the Department the decrease in contractual expenses for the NUMC Nursing Contract was a result of re-engineering the Medical Services division (a stand-alone unit) into the Medicaid division. The consolidation allowed for the Department to gain operational synergies thereby allowing the Department to reduce the number of nurses under contract.

| Contractual Services                                                       | 2018 NIFA<br>Conformed | FY 19<br>Proposed<br>Budget | Difference         |
|----------------------------------------------------------------------------|------------------------|-----------------------------|--------------------|
| <b>Administration Responsibility Center</b>                                |                        |                             |                    |
| LI Council of Churches                                                     | \$60,000               | 60,000                      | \$0                |
| Long Island Cares                                                          | 25,000                 | 25,000                      | -                  |
| Island Harvest                                                             | 25,000                 | 25,000                      | -                  |
| American Record Management Systems                                         | 175,000                | 175,000                     | -                  |
| Staff Training                                                             | 10,000                 | 10,000                      | -                  |
| Scanning                                                                   | 1,120,000              | 1,150,000                   | 30,000             |
| Car Leasing                                                                | 36,400                 | 36,400                      | -                  |
| (Budget Reduction)                                                         | (81,236)               | -                           | 81,236             |
| <b>Total</b>                                                               | <b>\$1,370,164</b>     | <b>\$1,481,400</b>          | <b>\$111,236</b>   |
| <b>Temporary Assistance to Needy Families (TANF) Responsibility Center</b> |                        |                             |                    |
| The Safe Center, L.I., Domestic Violence TANF                              | \$14,261               | 17,384                      | 3,123              |
| Circulo De La Hispanidad Homeless                                          | 14,261                 | 17,384                      | 3,123              |
| EAC-HEAP & WRAP                                                            | 424,475                | 432,965                     | 8,490              |
| Summit Security Services                                                   | 802,489                | 790,937                     | (11,552)           |
| Family Type Homes for Adults                                               | 40,000                 | 40,000                      | -                  |
| (Budget Reduction)                                                         | (72,509)               | -                           | 72,509             |
| <b>Total</b>                                                               | <b>\$1,222,977</b>     | <b>\$1,298,670</b>          | <b>\$75,693</b>    |
| <b>Medical Assistance Responsibility Center</b>                            |                        |                             |                    |
| NUMC-Nurses (PCA/DCAP)                                                     | 2,239,715              | 1,980,635                   | (259,080)          |
| (Budget Reduction)                                                         | (125,358)              | -                           | 125,358            |
| <b>Total</b>                                                               | <b>\$2,114,357</b>     | <b>\$1,980,635</b>          | <b>(\$133,722)</b> |

**Expenses, Cont.**

| Contractual Services                              | 2018 NIFA<br>Conformed | FY 19<br>Proposed<br>Budget | Difference       |
|---------------------------------------------------|------------------------|-----------------------------|------------------|
| <b>Employment Responsibility Center</b>           |                        |                             |                  |
| EAC - Concillation Services                       | \$122,325              | 124,772                     | 2,447            |
| EOC                                               | 286,763                | 292,498                     | 5,735            |
| NADAP                                             | 887,702                | 905,456                     | 17,754           |
| Town of Oyster Bay                                | 286,763                | 292,498                     | 5,735            |
| (Budget Reduction)                                | (88,632)               | -                           | 88,632           |
| <b>Total</b>                                      | <b>\$1,494,921</b>     | <b>\$1,615,224</b>          | <b>\$120,303</b> |
| <b>Child Support Responsibility Center</b>        |                        |                             |                  |
| YMS Management Associates                         | \$125,000              | 125,000                     | -                |
| EAC - Project Support                             | 153,000                | 156,060                     | 3,060            |
| Laboratory Corporation of America                 | 24,000                 | 24,000                      | -                |
| Ultimate Process Server                           | 90,000                 | 90,000                      | -                |
| (Budget Reduction)                                | (21,941)               | -                           | 21,941           |
| <b>Total</b>                                      | <b>\$370,059</b>       | <b>\$395,060</b>            | <b>\$25,001</b>  |
| <b>Services to Children Responsibility Center</b> |                        |                             |                  |
| One World Judicial Services                       | \$10,000               | 10,000                      | -                |
| Child Care Council of Nassau                      | 90,000                 | 91,800                      | 1,800            |
| Foster Children Services/ Events                  | 15,000                 | 15,000                      | -                |
| (Budget Reduction)                                | (6,437)                | -                           | 6,437            |
| <b>Total</b>                                      | <b>\$108,563</b>       | <b>\$116,800</b>            | <b>\$8,237</b>   |
| <b>Total Contractual Expense</b>                  | <b>6,681,041</b>       | <b>6,887,789</b>            | <b>206,748</b>   |

**Expenses, Cont.****Recipient Grants - TANF and Safety Net**

According to the Federal Government's Office of Family Assistance, states may use Temporary Assistance to Needy Families (TANF) funding "to provide assistance to needy families so that children can be cared for in their own homes; to reduce dependency by promoting job preparation, work and marriage; to prevent out-of-wedlock pregnancies; and to encourage the formation and maintenance of two-parent families." States receive a block grant allocation and are required to maintain a historical level of spending known as maintenance of effort. TANF recipients have a 60-month limit on these benefits.

Those individuals ineligible for other assistance programs can apply for New York State's Safety Net program (SNA). SNA serves other individuals/groups ineligible for federal assistance, such as single adults, childless couples, children living apart from any adult relative, aliens eligible for temporary assistance but ineligible for federal reimbursement, families of persons abusing drugs or alcohol, or families of persons refusing drug/alcohol screening, assessment and/or treatment. Additionally, persons exceeding the 60-month limit on TANF assistance are also eligible for SNA. SNA clients receive benefits as cash payments for 24 months. After 24 months, benefits may continue as non-cash payment (vendor check or voucher).

**Expenses, Cont.****Emergency Vendor Payments**

Emergency vendor payments are made to cover a variety of services rendered to eligible DSS clients, such as shelter care, institutional services, and utility and maintenance payments. Expenses cover such items as education costs and room and board.

**Medicaid**

Medicaid is a jointly funded, federal-state health insurance program for low-income and needy people, including children, the aged, blind, and/or disabled, and people who are eligible to receive federally assisted income maintenance payments.

Medicaid payments pay for nursing homes, hospital bills, prescription medicine, doctors, dentists, medical transportation, etc.

Although states are required to pay for a core set of benefits, they can choose to offer such optional benefits as prescription drugs and dental care.

**Purchased Services**

Purchased services are payments made to independent agencies that provide DSS clients with a variety of services such as day care, foster care, preventive services, as well as adult and child protective services. Childcare is not only for individuals on temporary assistance but also to those working families who meet income subsidy guidelines.

Title XX Homemaker Services provide cooking, shopping, and cleaning services to eligible individuals with limited resources

Revenues

| Revenue                | Historical           |                      | 2018                 |                      | 2019                 | Exec. vs. Conformed |             | Exec. vs. Projected |             |
|------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|---------------------|-------------|---------------------|-------------|
|                        | 2016                 | 2017                 | NIFA Conf. Budget    | OLBR Projected       | Executive Budget     | Variance            | Percent     | Variance            | Percent     |
| Rents & Recoveries     | \$2,110,907          | \$2,528,383          | \$800,000            | \$800,000            | \$800,000            | \$0                 | 0.0%        | \$0                 | 0.0%        |
| Dept Revenues          | 16,780,701           | 15,691,653           | 16,583,180           | 16,583,180           | 16,613,180           | 30,000              | 0.2%        | 30,000              | 0.2%        |
| Interdept Revenues     | 185,237              | 296,019              | 198,734              | 198,734              | 198,734              | 0                   | 0.0%        | 0                   | 0.0%        |
| Fed Aid-Reimb of Exp   | 117,519,302          | 119,904,183          | 111,658,686          | 111,256,952          | 118,373,895          | 6,715,209           | 6.0%        | 7,116,943           | 6.4%        |
| State Aid-Reimb of Exp | 51,374,245           | 51,395,502           | 51,795,333           | 49,453,398           | 50,404,175           | (1,391,158)         | -2.7%       | 950,777             | 1.9%        |
| <b>Total</b>           | <b>\$187,970,392</b> | <b>\$189,815,739</b> | <b>\$181,035,933</b> | <b>\$178,292,264</b> | <b>\$186,389,984</b> | <b>\$5,354,051</b>  | <b>3.0%</b> | <b>\$8,097,720</b>  | <b>4.5%</b> |

- The proposed budget has about 3.0%, or \$5.4 million more revenue than in the prior year budget and \$8.1 million more compared to OLBR's current projection. The changes are mainly in federal and state aid. About 90.6% of the proposed revenue budget is federal and state aid.
- The FY 19 rents & recoveries and interdepartmental budgets remain flat compared to the NIFA Conformed Budget.
- The department revenue is increasing marginally due to an additional \$30,000 in funding for other welfare receipts costs.
- Each year, the State reimburses the County for its administration of specific social programs. For example, the State reimburses the County for 29.0% of SNA-related expenditures. There is 2.7% less state aid reimbursement budget to budget. The proposed state aid budget is 1.9% greater than the latest projection.
- The federal government also reimburses the County for its administration of specific social programs. For instance, the County receives 100% reimbursement for its TANF-related expenditures. The FY 19 proposal allocates an additional \$6.7 million or, 6.0% for federal aid than the prior year. This is mainly attributed to the increase of \$3.7 million in the Child Care Block Grant (CCBG) and \$3.0 million for Federal reimbursements on administrative costs.
  - As of August 31, 2018, TANF cases were down 12.9% compared to August 2017, while SNA cases were down 10.8% during this time. Even though TANF cases are down, the risk of a federal aid deficit is lessened by the prospect of CCBG money
  - According to the department, the County has been repeatedly rewarded with CCBG money for its investment in the daycare program. As the Direct Assistance section of this report details, daycare caseloads, and thus purchased services expenses, began to rise dramatically in FY 12. Since that time, the State has increased the County's CCBG allocation from \$54.9 to \$58.2 million in the State FY 17-18 budget. The department states that another increase is possible in the next state fiscal year.



**Revenues, cont.**

**Governmental Aid**

- Excluding Medicaid expenses, federal and state aid defrays 68.4% of DSS’s proposed expense. However, the percentage reimbursement drops to 34.8% once the Medicaid Control Center is factored into the calculation.

| FY 19 FEDERAL AND STATE AID BUDGET<br>AS % OF EXPENSE BY CONTROL CENTER |                                     |                      |                      |                     |                                |                               |
|-------------------------------------------------------------------------|-------------------------------------|----------------------|----------------------|---------------------|--------------------------------|-------------------------------|
|                                                                         | Control Center                      | Expenses             | Federal Aid          | State Aid           | \$<br>Federal/<br>State Funded | %<br>Federal/<br>State Funded |
| 10                                                                      | Administration                      | \$23,789,224         | \$10,743,531         | \$5,013,045         | \$15,756,576                   | 66.2%                         |
| 72                                                                      | Burials                             | 260,000              | 0                    | 1,000               | 1,000                          | 0.4%                          |
| 63                                                                      | Children In Foster Homes (Non IV-E) | 1,231,100            | 350,000              | 400,000             | 750,000                        | 60.9%                         |
| 69                                                                      | Children In Foster Homes (IV-E)     | 875,000              | 550,000              | 175,000             | 725,000                        | 82.9%                         |
| 62                                                                      | Children In Institutions PINS/DSS   | 9,750,000            | 3,200,000            | 2,400,000           | 5,600,000                      | 57.4%                         |
| 30                                                                      | Division Of Services                | 23,599,379           | 10,731,273           | 8,305,362           | 19,036,635                     | 80.7%                         |
| 53                                                                      | Education of Handicapped Children   | 16,500,000           | 0                    | 3,039,960           | 3,039,960                      | 18.4%                         |
| 75                                                                      | Home Energy Assistance Program      | 400,000              | 200,000              | 0                   | 200,000                        | 50.0%                         |
| 65                                                                      | Juvenile Delinquents                | 2,000,000            | 550,000              | 450,000             | 1,000,000                      | 50.0%                         |
| 68                                                                      | Non Secure Detention                | 575,000              | 0                    | 250,000             | 250,000                        | 43.5%                         |
| 20                                                                      | Public Financial Assistance         | 25,102,043           | 16,482,817           | 6,952,808           | 23,435,625                     | 93.4%                         |
| 61                                                                      | Safety Net                          | 37,300,000           | 0                    | 10,117,000          | 10,117,000                     | 27.1%                         |
| 70                                                                      | Subsidized Adoptions                | 4,950,000            | 1,900,000            | 1,800,000           | 3,700,000                      | 74.7%                         |
| 60                                                                      | Family Assistance (TANF)            | 24,900,000           | 22,000,000           | 3,000,000           | 25,000,000                     | 100.4%                        |
| 76                                                                      | Title XX/CCBG                       | 68,586,775           | 51,316,274           | 6,000,000           | 57,316,274                     | 83.6%                         |
| 66                                                                      | Training Schools                    | 2,750,000            | 0                    | 0                   | 0                              | 0.0%                          |
|                                                                         | <b>Sub-Total</b>                    | <b>242,568,521</b>   | <b>118,023,895</b>   | <b>47,904,175</b>   | <b>165,928,070</b>             | <b>68.4%</b>                  |
| 73                                                                      | Medicaid MMIS                       | 242,521,767          | 350,000              | 2,500,000           | 2,850,000                      | 1.2%                          |
|                                                                         | <b>Total</b>                        | <b>\$485,090,288</b> | <b>\$118,373,895</b> | <b>\$50,404,175</b> | <b>\$168,778,070</b>           | <b>34.8%</b>                  |

**TRAFFIC & PARKING VIOLATIONS AGENCY**

| Revenue            | Historical          |                     | 2018                |                     | 2019                | Exec. vs. Conformed |              | Exec. vs. Projected |              |
|--------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|--------------|---------------------|--------------|
|                    | 2016                | 2017                | NIFA Conf. Budget   | OLBR Projected      | Executive Budget    | Variance            | Percent      | Variance            | Percent      |
| Fines & Forfeits   | 72,615,718          | 67,504,002          | 68,390,000          | 71,865,695          | 79,111,315          | 10,721,315          | 15.7%        | 7,245,620           | 10.1%        |
| Invest Income      | 0                   | 0                   | 0                   | 830                 | 0                   | 0                   | *****        | (830)               | -100.0%      |
| Rents & Recoveries | 41,672              | 52,450              | 35,000              | 35,000              | 35,000              | 0                   | 0.0%         | 0                   | 0.0%         |
| Dept Revenues      | 0                   | 3,040               | 0                   | 0                   | 0                   | 0                   | *****        | 0                   | *****        |
| <b>Total</b>       | <b>\$72,657,391</b> | <b>\$67,559,492</b> | <b>\$68,425,000</b> | <b>\$71,901,525</b> | <b>\$79,146,315</b> | <b>\$10,721,315</b> | <b>15.7%</b> | <b>\$7,244,790</b>  | <b>10.1%</b> |

**Revenues**

- The Proposed FY 19 Budget for revenues is \$79.1 million, a growth of \$10.7 million, or 15.7% from the prior year budget and rising \$7.2 million compared to the OLBR projection. The budget increase is primarily attributed to a significant increase of Boot and Tow and Red Light Camera (RLC) revenue.
- Within the fines and forfeits budget are parking and traffic fines revenue of \$23.3 million and Red Light Camera revenue of \$46.7 million, which includes administrative fees for both. In addition, boot and tow revenue is \$9.1 million for FY 19; all fee allocations are depicted in the table on the next page.
  - The fines revenue budget for the FY 19 budget remains flat at \$13.5 million, which is consistent with historical trends. The corresponding administrative fee of \$9.8 million is decreasing by \$1.1 million.
  - The RLC portion of the FY 19 revenue is \$24.6 million and the related administrative fee is \$22.1 million, which represents 278 operational cameras. Both RLC revenue streams are increasing by roughly \$2.5 million and \$1.4 million, respectively. Budget to budget, the total growth is \$3.8 million.
  - The boot and tow fee, which is \$175 per violation has a Proposed FY 19 Budget of \$9.1 million. This is \$8.0 million more than the prior year’s budget and \$7.0 more than the OLBR projection. This substantial increase is part of the March FY 18 NIFA Amendments implemented by the County Executive’s Office, which is contingent on Legislative approval and has been carried over in the Proposed FY 19 Budget. OLBR is flagging this revenue as a risk.
- The Administration is budgeting \$35,000 for rents and recoveries in the Proposed FY 19 Budget, which is constant with the FY 18 NIFA Conformed Budget and in line with OLBR’s projection. This represents the revenue recovery account.

**Revenues, Cont.**

| <b>Fines and Forfeits</b>    | <b>2018 NIFA<br/>Conformed</b> | <b>2019<br/>Proposed</b> | <b>Prop 2019 vs.<br/>Adpt FY18</b> |
|------------------------------|--------------------------------|--------------------------|------------------------------------|
| R0603-Fines                  | 13,500,000                     | 13,500,000               | -                                  |
| R0630-Administrative Fee     | 10,907,895                     | 9,809,079                | (1,098,816)                        |
| <b>Fines Subtotal</b>        | <b>24,407,895</b>              | <b>23,309,079</b>        | <b>(1,098,816)</b>                 |
| R0626-Red Light Camera (RLC) | 22,092,105                     | 24,559,072               | 2,466,967                          |
| R0629-RLC Administrative Fee | 20,750,000                     | 22,103,164               | 1,353,164                          |
| <b>RLC Subtotal</b>          | <b>42,842,105</b>              | <b>46,662,236</b>        | <b>3,820,131</b>                   |
| R0633-Boot & Tow             | 1,140,000                      | 9,140,000                | 8,000,000                          |
| <b>Grand Total</b>           | <b>68,390,000</b>              | <b>79,111,315</b>        | <b>10,721,315</b>                  |



**Boot and Tow**

Vehicles belonging to a vehicle owner who has been issued two or more parking and/or Photo Enforcement notices of liability (NOL) may be booted and/or towed. The current Boot and Tow program is facilitated by a third party collection vendor that charges fees that include \$166 for the boot, \$140 for the tow and \$25 a day for storage, if towed. The above fees are paid directly to the vendor and no portion is remitted to Nassau County.

**Revenues, Cont.**

| TPVA Tickets Received YTD |                 |                |                 |                |               |             |
|---------------------------|-----------------|----------------|-----------------|----------------|---------------|-------------|
| Issuing Agencies          | August 2017 YTD |                | August 2018 YTD |                | % Difference  |             |
|                           | Parking         | Traffic        | Parking         | Traffic        | Parking       | Traffic     |
| Nassau County Police      | 60,901          | 78,821         | 50,478          | 84,093         | -17.1%        | 6.7%        |
| All Other Agencies        | 24,143          | 23,032         | 17,247          | 24,728         | -28.6%        | 7.4%        |
| <b>Grand total</b>        | <b>85,044</b>   | <b>101,853</b> | <b>67,725</b>   | <b>108,821</b> | <b>-20.4%</b> | <b>6.8%</b> |

- The table above displays parking and traffic tickets issued through August 2018 compared to the same period in the prior year; parking tickets are decreasing by 20.4% and traffic tickets are increasing by 6.8%.
- The Nassau County Police Department generated a year over year decline of parking tickets of 17.1% and a rise of traffic tickets of 6.7%.

| Traffic and Parking Violations Agency Fines & Forfeits - Multi Year Plan |                     |                     |                     |                     |  |
|--------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|--|
| Revenue Sources                                                          | 2019 Proposed       | 2020 Plan           | 2021 Plan           | 2022 Plan           |  |
| Fines                                                                    | 13,500,000          | 13,230,000          | 13,230,000          | 13,230,000          |  |
| TV Fines Administrative Fee                                              | 9,809,079           | 9,612,897           | 9,612,897           | 9,612,897           |  |
| Red Light Camera (RLC)                                                   | 24,559,072          | 24,067,891          | 24,067,891          | 24,067,891          |  |
| RLC Administrative Fee                                                   | 22,103,164          | 21,661,101          | 21,661,101          | 21,661,101          |  |
| Boot & Tow                                                               | 9,140,000           | 8,957,200           | 8,957,200           | 8,957,200           |  |
| <b>Total Fines &amp; Forfeits Revenue</b>                                | <b>\$79,111,315</b> | <b>\$77,529,089</b> | <b>\$77,529,089</b> | <b>\$77,529,089</b> |  |

- The Multi Year Plan (MYP) in the schedule above illustrates TPVA’s revenue through FY 22. The out-year plan includes total fines and forfeits revenue of \$77.5 million for each year, a total decrease of \$1.6 million compared to the Proposed FY 19 Budget of \$79.1 million.
  - The fines portion of revenue will decline by \$270,000 to \$13.2 million from FY 19 through FY 22. The associated administrative fee of \$9.8 million is also decreasing by a total of about \$196,182 in the out years.
  - Both the RLC and the related administrative fee revenues are declining by about \$491,181 and \$442,063 respectively. The decreases are from \$24.6 million to \$24.1 million and \$22.1 million to \$21.7 million, correspondingly, from FY 19 through FY 22.
  - The boot and tow revenue is dropping by roughly \$183,000 from FY 19 through the out years.

| Expense                | Historical          |                     | 2018                |                     | 2019                | Exec. vs. Conformed |              | Exec. vs. Projected |              |
|------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|--------------|---------------------|--------------|
|                        | 2016                | 2017                | NIFA Conf. Budget   | OLBR Projected      | Executive Budget    | Variance            | Percent      | Variance            | Percent      |
| Full-Time Headcount    | 46                  | 48                  | 46                  | 46                  | 48                  | 2                   | 4.3%         | 2                   | 4.3%         |
| Part-Time and Seasonal | 45                  | 41                  | 48                  | 38                  | 37                  | (11)                | -22.9%       | (1)                 | -2.6%        |
| Salaries               | \$3,983,467         | \$3,939,664         | \$3,853,685         | \$3,729,486         | \$3,794,255         | (\$59,430)          | -1.5%        | \$64,769            | 1.7%         |
| Equipment              | 375                 | 6,328               | 8,852               | 8,852               | 10,400              | 1,548               | 17.5%        | 1,548               | 17.5%        |
| General Expenses       | 171,904             | 187,360             | 211,287             | 211,287             | 266,315             | 55,028              | 26.0%        | 55,028              | 26.0%        |
| Contractual Services   | 11,399,736          | 10,699,984          | 9,765,418           | 10,671,435          | 12,546,800          | 2,781,382           | 28.5%        | 1,875,365           | 17.6%        |
| Inter-Dept. Charges    | 5,625               | 0                   | 0                   | 0                   | 0                   | 0                   | *****        | 0                   | *****        |
| <b>Total</b>           | <b>\$15,561,106</b> | <b>\$14,833,336</b> | <b>\$13,839,242</b> | <b>\$14,621,060</b> | <b>\$16,617,770</b> | <b>\$2,778,528</b>  | <b>20.1%</b> | <b>\$1,996,710</b>  | <b>13.7%</b> |

**Expenses**

- The FY 18 NIFA Conformed Budget for expenses is increasing by \$2.8 million, or 20.1%, budget to budget and increasing by roughly \$2.0 million, or 13.7%, compared to OLBR’s projection. This is primarily attributed to an increase in contractual expenses.
- The FY 19 proposed salaries are declining minimally by \$59,430 budget to budget and are \$64,769 higher than OLBR’s projection.
- The full-time headcount increased by two positions budget to budget and compared to OLBR’s September 1, 2018 on board.
- TPVA’s part-time positions decreased by 11 positions compared to the prior year budget and one position compared to OLBR’s projection.
- The FY 19 equipment budget increased nominally. This is due to higher allocations for information technology and safety & security equipment costs offset by the elimination of office furniture/furnishings expenditures.
- The general expenses FY 19 budget proposal is rising to \$266,315, an increase of \$55,028, mainly due to additional costs for miscellaneous supplies and expenditures.
- The FY 19 contractual services budget includes \$12.5 million, an increase of \$2.7 million compared to the prior year’s budget and \$1.9 million versus OLBR’s projection. The increase in expenses is mainly due to an increase \$1.7 million for the RLC vendor expenses and an anticipated \$1.0 million increase in vendor collections.