



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Onexim Sports and Entertainment Holding USA, Inc. and its subsidiaries, including Nassau Events Center, LLC
125 Park Avenue
Suite 1540
New York, NY 10017
Phone: 718-942-9694

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

Nassau County
New York State

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

Onexim Sports and Entertainment Holding USA, Inc. and its subsidiaries, including Nassau Events Center, LLC
125 Park Avenue
Suite 1540
New York, NY 10017
T: 718-942-9694

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See **page 4 for a complete description of lobbying activities.**

Amended and Restated Coliseum Lease executed as of July 30, 2015 and with a Lease Effective Date of October 30, 2013, by and between The County of Nassau, as landlord, and Nassau Events Center, LLC, as tenant, for a portion of the Nassau Veterans Memorial Coliseum Site

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

Nassau County Executive
Nassau County Deputy County Executive
Nassau County Legislature

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

7. Within the previous year, has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee? If none, you must so state:

None

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 10/16/2017

Signed: *Maureen A. Hanlon*
Print Name: Maureen A. Hanlon
Title: President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

None

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Vendor: Onexim Sports and Entertainment Holding USA, Inc.

Dated: 10/16/2017

Signed: Maureen A. Hanlon

Signed: Kari D Cohen

Print Name: Maureen A. Hanlon

Print Name: Kari Cohen

Title: President

Title: Vice President and Deputy

General Counsel



COUNTY OF NASSAU

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None

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Vendor: Onexim Sports and Entertainment Holding USA, Inc.

Dated: 10/16/2017

Signed: *Maureen A Hanlon*

Signed: *Sarah Berlenbach*

Print Name: Maureen A. Hanlon

Print Name: Sarah Berlenbach

Title: President

Title: Director of External Affairs



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

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None

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

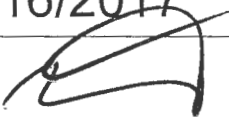
The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Vendor: Onexim Sports and Entertainment Holding USA, Inc.

Dated: 10/16/2017

Signed: Maureen A. Hanlon

Signed: 
Print Name: Jeffrey Gewirtz
Title: Executive Vice President
of Business Affairs, Chief Legal
and Compliance Officer

Print Name: Maureen A. Hanlon

Title: President



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

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None

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The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Vendor: Onexim Sports and Entertainment Holding USA, Inc.

Dated: 10/16/2017

Signed: *Maureen A. Hanlon*

Signed: *B. Yormark*

Print Name: Maureen A. Hanlon

Print Name: Brett Yormark

Title: President

Title: CEO

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Onexim Sports and Entertainment Holding, USA, Inc., and its subsidiaries, including Nassau Events Center, LLC _____

Address: 125 Park Avenue, Suite 1540

City, State and Zip Code: New York, NY 10017

2. Entity's Vendor Identification Number: 271459446

3. Type of Business: Public Corp Partnership Joint Venture

Ltd. Liability Co Closely Held Corp Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Sole Shareholder: Mikhail Prokhorov
125 Park Avenue, Suite 1540, New York, NY 10017

Sole Officer and Director: Maureen A. Hanlon
125 Park Avenue, Suite 1540, New York, NY 10017

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

Sole Shareholder: Mikhail Prokhorov
125 Park Avenue, Suite 1540, New York, NY 10017

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

None.

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

RedLand Strategies
519 Eighth Avenue, New York, NY 10018
Michael Balboni (President and Managing Director), James Sherry (Senior Vice President), Resi Cooper (Consultant)

Forest City Ratner Companies
1 MetroTech Center, Brooklyn, NY 11201
Ashley Cotton (Executive Vice President of External Affairs)

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

Amended and Restated Coliseum Lease executed as of July 30, 2015 and with a Lease Effective Date of October 30, 2013, by and between The County of Nassau, as landlord, and Nassau Events Center, LLC, as tenant, for a portion of the Nassau Veterans Memorial Coliseum Site

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

RedLand Strategies: Nassau County, New York State
Forest City Ratner Companies: Nassau County, New York City, New York State

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: 11/2/2017

Signed: Maureen A. Halon

Print Name: Maureen A. Halon

Title: President

Page 4 of 4

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1

Principal Name Mikhail Prokhorov
 Date of birth 05 / 03 / 1965
 Home address _____
 City/state/zip _____
 Business address 125 Park Avenue, Suite 1540
 City/state/zip New York, NY 10017
 Telephone (718) 942-9525
 Other present address(es) _____
 City/state/zip _____
 Telephone _____

2. Positions held in submitting business and starting date of each (check all applicable)

President	<u> / / </u>	Treasurer	<u> / / </u>
Chairman of Board	<u> / / </u>	Shareholder	<u>12 / 01 / 2009</u>
Chief Exec. Officer	<u> / / </u>	Secretary	<u> / / </u>
Chief Financial Officer	<u> / / </u>	Partner	<u> / / </u>
Vice President	<u> / / </u>		<u> / / </u> (Other)

3. Do you have an equity interest in the business submitting the questionnaire?

NO YES If Yes, provide details.

Mikhail Prokhorov owns 100% of Onexim Sports & Entertainment Holding USA, Inc.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

NO YES If Yes, provide details

Shareholder loans.

5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire?

NO YES If Yes, provide details.

Mikhail Prokhorov has indirect beneficial interests of 100% of Renaissance Capital, and investment banking firm in Russian with U.S. revenues. He also has indirect beneficial interests of 6% of UC RUSAL PLC, a public company listed in Hong Kong with U.S. revenues. Additionally, Mikhail Prokhorov has unrelated investments through the ONEXIM Group, a private investment fund in Russia.

Within the past 3 years, Mr. Prokhorov's professional responsibilities have included:

From April 2014 to date – Member of the Board of Directors of LLC Management Company ROSNANO

From June 2015 to November 2015 – Member of the Board of Directors of Vozrozhdenie Bank

From October 2008 to 2014 – President of All-Russian Public Organization "Union of Biathletes of Russia"

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

NO YES If Yes, provide details.

The submitting business holds an indirect 100% interest in Barclays Events Center, LLC, which operate the Barclays Center through a lease with Brooklyn Arena Local Development Corporation. No businesses of the ONEXIM Group in Russia have been awarded any U.S. federal, state or local government contracts. The schedule attached to this questionnaire lists foreign government contracts that have been awarded to the ONEXIM Group in Russia.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?

NO YES If Yes, provide details for each such instance.

- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

NO YES If Yes, provide details for each such instance.

- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

NO YES If Yes, provide details for each such instance.

- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

NO YES If Yes, provide details for each such instance.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)

Please see the attached schedule relating to the ONEXIM Group in Russia.

- a. Is there any felony charge pending against you?

NO YES If Yes, provide details for each such charge.

- b. Is there any misdemeanor charge pending against you?

NO YES If Yes, provide details for each such charge.

- c. Is there any administrative charge pending against you?

NO YES If Yes, provide details for each such charge.

- d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

NO YES If Yes, provide details for each such conviction.

- e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

NO YES If Yes, provide details for each such conviction.

- f. In the past 5 years, have you been found in violation of any administrative or statutory charges?

NO YES If Yes, provide details for each such occurrence.

9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

NO YES If Yes, provide details for each such investigation.

Please see the attached schedule relating to the ONEXIM Group in Russia.

10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

NO YES If Yes, provide details for each such investigation.

Please see the attached schedule relating to the ONEXIM Group in Russia.

11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

NO YES If Yes, provide details for each such investigation.

12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

NO YES If Yes, provide details for each such investigation.

SCHEDULE OF RESPONSES RELATING TO THE ONEXIM GROUP¹

Question 6

In the past three years, the ONEXIM group in Russia has been awarded the following types of government contracts:

- Investment contracts with municipal districts in the Moscow region for the development of social infrastructure and the construction of a residential district
- Land rental agreements in Moscow and other Russian regions, which are the state/municipal property
- Insurance contracts in Russia
- State/municipal contracts for the delivery of goods, works, and services including agreement with the Federal Agency for State Property Management for the privatization of the Vnukovo International Airport in Russia
- Contract with the Phytosanitary Service for product quality testing
- contract with the Fire Protection Service for maintenance and compliance with fire safety requirements
- Contract with the Federal Service for State Registration, Cadastre, and Cartography (Rosreestr) in order to register and obtain necessary documentation for related land plots
- Contract with the Ministry of Finance of the Russian Federation relating to the placement of external bond loans of the Russian Federation
- Contract with the National Social Security Fund (NSSF) (Uganda) relating to the brokerage services in East Africa
- Contracts with the Asset Management Corporation of Nigeria (AMCON) relating to brokerage services
- Contracts for domain name registration and hosting services
- Contracts for access to database PAO RBS (forecasts of exchange rates, forecast commodity prices, etc.)
- Contracts for information services for the coverage of public authorities

Question 8

All bankruptcy proceedings against the ONEXIM Group were terminated by the Arbitration Courts due to cancellation of applications by persons who took the application to the Arbitration Courts in Russia. There are no pending bankruptcy proceedings against the ONEXIM Group at this time.

Questions 9 and 10

From time to time, in the ordinary course of business, the ONEXIM Group has undergone scheduled and unscheduled inspections by the Antimonopoly Service to ensure compliance with antitrust legislation in Russia.

On July 12, 2011, the Federal Antimonopoly Services of Russia found one of the subsidiaries of the Public Joint Stock Company "RBC" (JSC "RU-CENTER") in violation of Section 3 and 5 of Article 11 (prohibition on limiting competition contracts and concerted actions of a business

¹ As noted in the Questionnaire, the attached schedule pertains only to the ONEXIM Group in Russia. It does not relate to any of Mikhail Prokhorov's investments in the United States.

(entity) and Section 1 of Article 14 (prohibition on unfair competition) of the Federal Law No. 135-FZ. The Federal Antimonopoly Service of Russia issued an Order of Recovery in the amount of 239,630,213 rubles for the violation.

From time to time, in the ordinary course of business, the ONEXIM Group has undergone scheduled and unscheduled inspections from the following government entities:

- Bank of Russia
- Federal Tax Services
- The Federal Service for Supervision of Communications, Information, Technology, and Mass Media
- The Ministry of the Russian Federation for Civil Defense, Emergencies, and Elimination of Consequences of Natural Disasters
- Federal Fire Service
- Federal Service for Supervision in the Sphere of Transport
- Federal Environment, Industrial, and Nuclear Supervision Service
- Financial Conduct Authority (UK)
- Cyprus Securities and Exchange Commission (Cyprus)

Additionally, the ONEXIM Group was required to pay fines for administrative offenses for:

- Failing to meet licensing requirements for subsoil use
- Inappropriate use of land

Any and all violations or fines as a result of the aforementioned inspections and/or investigations have been resolved.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Mikhail Prokhorov, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 20 day of October 2017

Ilana Kanzas
Notary Public

ILANA KANZAS
NOTARY PUBLIC-STATE OF NEW YORK
No. 01KA6304658
Qualified in New York County
My Commission Expires June 02, 2018

Onexim Sports and Entertainment Holding USA, Inc.
Name of submitting business

Mikhail Prokhorov
Print name

[Signature]
Signature

Title

10 / 20 / 17
Date

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Brett Yormark
Date of birth 09/28/1966
Home address 303 East 49th Street
City/state/zip New York, NY 10017
Business address 125 Park Avenue, Suite 1540
City/state/zip New York, NY 10017
Telephone 718-942-9605
Other present address(es) _____
City/state/zip _____
Telephone _____
List of other addresses and telephone numbers attached.

2. Positions held in submitting business and starting date of each (check all applicable)
President ___/___/___ Treasurer ___/___/___
Chairman of Board ___/___/___ Shareholder ___/___/___
Chief Exec. Officer ___/___/___ Secretary ___/___/___
Chief Financial Officer ___/___/___ Partner ___/___/___
Vice President ___/___/___
(Other)
N/A. Brett Yormark is the President of Nassau Events Center, LLC, a subsidiary of Onexim Sports and Entertainment Holding USA, Inc.

3. Do you have an equity interest in the business submitting the questionnaire?
YES ___ NO X

If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?

YES ___ NO X

If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire?

YES ___ NO X

If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES ___ NO X

If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

a. Been debarred by any government agency from entering into contracts with that agency?

YES ___ NO X

If Yes, provide details for each such instance.

b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES ___ NO X

If Yes, provide details for each such instance.

c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES ___ NO X

If Yes, provide details for each such instance.

d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES ___ NO X

If Yes, provide details for each such instance.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during

the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)

a) Is there any felony charge pending against you?

YES ___ NO X

If Yes, provide details for each such charge.

b) Is there any misdemeanor charge pending against you?

YES ___ NO X

If Yes, provide details for each such charge.

c) Is there any administrative charge pending against you?

YES ___ NO X

If Yes, provide details for each such charge.

d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business?

YES ___ NO X

If Yes, provide details for each such conviction.

e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?

YES ___ NO X

If Yes, provide details for each such conviction.

f) In the past 5 years, have you been found in violation of any administrative or statutory charges?

YES ___ NO X

If Yes, provide details for each such occurrence.

9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust

investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?

YES ___ NO X

If Yes, provide details for each such investigation.

10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES ___ NO X

If Yes, provide details for each such investigation.

11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES ___ NO X If Yes, provide details for each such instance.

12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES ___ NO X


If Yes, provide details for each such year.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Brett Yormark, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

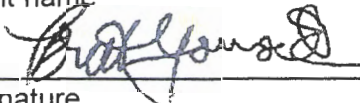
Sworn to before me this 06 day of November 2017

Gail Gaddis 
Notary Public

GAIL R GADDIS
NOTARY PUBLIC, STATE OF NEW YORK
NO. 01GA6305170
QUALIFIED IN KINGS COUNTY
MY COMMISSION EXPIRES JUN 02, 2018

Onexim Sports and Entertainment Holding USA, Inc.
Name of submitting business

Brett Yormark
Print name


Signature

CEO of Brooklyn Sports & Entertainment and President of Nassau Events Center, LLC
Title

11 / 06 / 2017
Date

**ONEXIM SPORTS AND ENTERTAINMENT HOLDING USA, INC.
125 PARK AVENUE | SUITE 1540 | NEW YORK, NY 10017**

October 16, 2017

Clerk of the Legislature
County of Nassau
Theodore Roosevelt Executive and
Legislative Building
1550 Franklin Ave.
Mineola, New York 11501

Nassau County Attorney
One West Street
Mineola, New York 11501

Re: Lobbyist Registration

To Whom It May Concern:

Please be advised that ONEXIM SPORTS AND ENTERTAINMENT HOLDING USA, INC., and its subsidiaries, including but not limited to Nassau Events Center, LLC, is registering as a lobbyist in the County of Nassau and State of New York, effective immediately through June 30, 2018. The following employees of one of ONEXIM SPORTS AND ENTERTAINMENT HOLDING USA, INC.'s subsidiaries are authorized to lobby on behalf of such entity during this period:

- Brett Yormark
- Jeffrey Gewirtz
- Kari Cohen
- Sarah Berlenbach

While the exact salary allocations attributable to lobbying are unknown at this time, I anticipate the total combined salary allocations of all employees will exceed the \$1,000 threshold.

If you have any questions, please contact me.

Sincerely,



Maureen A. Hanlon
President