

COUNTY OF NASSAU

LOBBYIST PERIODIC REPORT FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization as it appears on Lobbyist Registration and Disclosure Form:

Peter Weiss, Executive Director, 383 Madison Avenue, Floor 8, New York NY, (212) 272-2297

Frederick Putnam, Vice President, 383 Madison Avenue, Floor 8, New York NY, (212) 270-0757

Lindsey Brannon, Associate, 383 Madison Avenue, Floor 8, New York NY, (212) 270-0298

2. Reporting Period: September 1 - December 31, 2016

(January 1 to March 31; April 1 to May 31; June 1 to August 31; or September 1 to December 31)

(Note: for Sections 3 through 6 below, where a lobbyist is required to file this report, any such lobbyist that has not earned or incurred any compensation or expenses for the period shall make such a statement herein)

3. List below amounts for any compensation paid or owed to the lobbyist during the period for the purposes of lobbying. Such amounts shall be detailed as to amount, to whom paid and for what purpose.

Amount	Details
<u>\$230.77</u>	<u>Paid by J.P. Morgan Securities LLC to Lindsay Brannon for communication related to (con't) RFP procurement and administrative processes with Nassau County</u>
<u>\$324.52</u>	<u>Paid by J.P. Morgan Securities LLC to Frederick Putnam for communication related to (con't) RFP procurement and administrative processes with Nassau County</u>
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4. List below the cumulative total amounts earned to date for lobbying year:

\$555.29

5. List below amounts for any expenses expended or incurred by the lobbyist during the period for the purposes of lobbying. Such amounts shall be detailed as to amount, to whom paid and for what purpose.

Amount	Details
\$177.90	Book printing
\$177.90	Book printing

6. List below the cumulative total amounts expended to date for lobbying year:

\$355.80

(In lieu of completing 7 through 10 below, you may attach a copy of your Lobbyist Registration and Disclosure Form, provided the information has not changed.)

7. List whether and where the lobbyist(s)/lobbying organization is registered as a lobbyist (e.g. Nassau County, New York State):

Peter Weiss and Frederick Putnam are registered New York State lobbyists. Peter Weiss, Frederick Putnam, and Lindsey Brannon are Nassau County lobbyists.

8. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated.

J.P. Morgan Securities, LLC
383 Madison Avenue,
Floor 8, New York NY
(212) 270-5906

9. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed, during the Reporting Period.

Communication on behalf of J.P. Morgan Securities, LLC, by Lindsey Brannon and Frederick Putnam, is related to RFP procurement and administrative processes with Nassau County, specifically an underwriting services meeting.

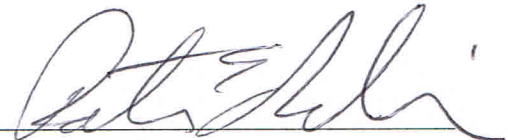
10. The name of persons, organizations or governmental entities before whom the lobbyist has lobbied during the period.

Steven Conkling, Debt Manager

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

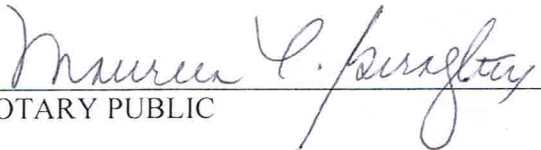
I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: I certify that all statements made on this statement are true, correct and complete to the best of my knowledge and belief and I understand that the willful making of any false statement of material fact herein will subject me to the provisions of law relevant to the making and filing of false instruments and will render such statement null and void.

Dated: 1/13/2017 Signed: 
 Print Name: Peter Weiss
 Title: Managing Director

STATE OF NEW YORK)
) NEW YORK) SS:
 COUNTY OF NASSAU)

Sworn to before me this 13th
 Day of JANUARY, 2017.


 NOTARY PUBLIC

