



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Marc S. Alessi
Shelter Rock Strategies LLC
300 Garden City Plaza, 5th Floor
Garden City, NY 11530
(516) 294-4000

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

Marc S. Alessi is registered as a lobbyist with the Secretary of the Senate, Clerk of the House of Representatives, NYS Joint Commission on Public Ethics and Nassau County, Suffolk County Legislature.

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

Client: First Hartford Corp - Tel. # (860) 646-6555 - Address: 149 Colonial Rd, Manchester, CT 06405

Client: American Security Technologies - Tel. # (516) 294-6434 - Address: One Commercial Avenue, Ste. 200, Garden City, NY 11530

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. **See page 4 for a complete description of lobbying activities.**

First Hartford - Provide government relation services for the development of CVS/ Pharmacy and Cumberland Farms locations; and, American Security - Sale of the company's life button panic alarms and general security systems and monitoring.

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

Nassau County Legislature, Nassau County Executive's Office, Nassau BOCES, Long Island School Districts.

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

7. Within the previous year, has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee? If none, you must so state:

N/A


I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 1/13/17

Signed: 

Print Name: Marc S. Alessi

Title: Member

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

SHELTER ROCK STRATEGIES LLC

300 GARDEN CITY PLAZA • 5th Floor • GARDEN CITY, NEW YORK 11530
TEL: (516) 294 – 4000 • FAX: (516) 294 – 2647
www.ShelterRockStrategies.com

Mr. Neil Ellis
CEO/President
First Hartford
149 Colonial Road
Manchester, NY 06045

Dear Mr. Ellis:

This letter confirms the agreement between First Hartford (the “Company”) and Shelter Rock Strategies, LLP (“SRS”) to provide government relations services. SRS shall aide the Company in governmental matters affecting the Company and shall present the Company’s position on such matters to the appropriate governmental agency or body as directed by the Company. Some of the services contemplated to date are:

1. New York State Department of Transportation.
2. New York State Department of Environmental Conservation
3. United States Department of Transportation.
4. United State Department of Housing and Urban Renewal
5. Office of the New York State Governor.
6. Office of the New York State Comptroller.
7. Federal, State, and Local elected officials
8. Any other agency or entity the Company deems necessary.

The term of this agreement shall commence on January 1, 2013 and continue through December 31, 2013 and may be renewed for additional terms as agreed by the parties.

In consideration for the services provided by SRS, the Company shall agree to pay SRS \$5,000 per month, due and payable on the 1st day of each month. The Company shall reimburse SRS for reasonable expenses incurred in connection with the provision of the services as set forth in this agreement including, but not limited to, expenses for travel, messenger, photocopying, telephone toll calls, postage and filing fees. We will bill you \$.20 for each photocopy, \$.40 per page for telefax transmissions and our actual costs for all other disbursements.

All information which shall come into SRS’s possession from the Company relating to the Company’s business and activities shall be treated as confidential by SRS

and shall not be disclosed to others or used for the benefit of others during the term of this agreement and thereafter without the permission of the Company.

If SRS shall be subject to any claim, demand or penalty or become a party to any suit or other judicial or administrative proceeding as a result of any claimed act or omission by the Company, or by reason of any act occurring in connection with the provision of services by SRS hereunder, excluding specifically claims or causes of action for breach of contract or violation of the confidentiality provisions contained herein, the Company shall indemnify and hold SRS harmless against all judgments, settlements, penalties and expenses including reasonable attorney fees, court costs and other expenses of litigation or administrative proceeding, incurred by or imposed on SRS in connection with the investigation or defense thereof, provided that SRS has timely notified the Company in writing of the existence of the claim, demand, penalty or action and provided further that SRS has not admitted liability for, entered into any settlement agreement, stipulated to any judgment, agreed to arbitration or incurred costs of defense without the Company's prior written consent. The Company's consent shall not be unreasonably withheld provided that the Company shall be entitled to all information and particulars it may reasonably request in order to reach a decision regarding such consent. The Company, at its election, may defend SRS.

This agreement shall be governed and construed in accordance with the laws of the State of New York and the parties consent to the jurisdiction of the Supreme Court, Nassau County for any actions arising hereunder.

If the above sets forth your understanding of our agreement, kindly sign a copy of this letter and return it to us. Once we receive a signed copy we may register with the New York State Commission on Public Integrity. We look forward to working with you and will strive at all times to provide the services which are in all manner acceptable to you.

Very truly yours,



Marc Alessi

Agreed to:

By: *[Handwritten Signature]*

Dated: 1/30/13

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FIFTH ADDENDUM

This fifth addendum is written to further extend the contract entered into between First Hartford (the “Company”) and Shelter Rock Strategies LLC (“SRS”) on January 30, 2013 (the “Contract”) and the Addendums thereafter on January 6, 2014, January 5, 2015, December 23, 2015 and June 17, 2016, which covered the dates of service between January 1, 2013 and December 31, 2016.


The parties hereto agree to extend the contract and the services provided thereto from January 1, 2017 through June 30, 2017, unless prior written notice of termination is given by either party to the other at least five days prior to the end of the then current month to be effective on the 1st day of the succeeding month.

The cost for services will remain at a rate of \$3,500 per month. The terms of the Fifth Addendum shall prevail and govern in the event of any conflict with the Contract.

Very truly yours,

Marc S. Alessi

Agreed to:

By: 
Neil Ellis
President

Dated: Jan 3, 2017

SHELTER ROCK STRATEGIES LLC

300 GARDEN CITY PLAZA • 5th Floor • GARDEN CITY, NEW YORK 11530
TEL: (516) 294 - 4000 • FAX: (516) 294 - 2647
www.ShelterRockStrategies.net

May 31, 2016

Mr. Kenneth F. Mara, President
American Security Technologies Inc.
One Commercial Avenue, Ste. 200
Garden City, NY 11530

Dear Mr. Mara:

This letter confirms the agreement between ~~World Wide Security Systems~~ ^{American Security Technologies Inc.} (the "Company") and Shelter Rock Strategies, LLP ("SRS") to provide government relations and procurement lobbying services. SRS shall aide the Company in governmental matters affecting the Company and shall present the Company's position on such matters to the appropriate governmental agency or body as directed by the Company.

Some of the entities we anticipate meeting with on your behalf are:

1. New York State Department of Education
2. New York City Department of Education
3. New York State Office of General Services
4. Local School Districts throughout Long Island and New York State
5. Local Municipalities throughout Long Island and New York State
6. Nassau County Executive's Office
7. Suffolk County Executive's Office
8. Suffolk BOCES
9. Nassau BOCES
10. Governor's Office
11. Federal, State, and Local Legislators

The term of this agreement shall commence on June 1, 2016 and continue on a month-to-month basis under the terms herein unless cancelled in writing by either party for any reason with 14 days advance notice.

In consideration for the services provided by SRS, the Company shall to pay SRS \$2,500 per month, due and payable on the 1st day of each month. The Company shall reimburse SRS for reasonable expenses incurred in connection with the provision of the services as set forth in this agreement including, but not limited to, expenses for travel, messenger, photocopying, telephone toll calls, postage and filing fees. We will bill you

\$.20 for each photocopy, \$.40 per page for telefax transmissions and our actual costs for all other disbursements.

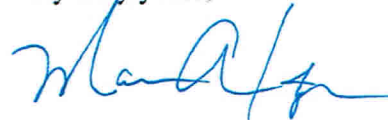
All information which shall come into SRS's possession from the Company relating to the Company's business and activities shall be treated as confidential by SRS and shall not be disclosed to others or used for the benefit of others during the term of this agreement and thereafter without the permission of the Company.

If SRS shall be subject to any claim, demand or penalty or become a party to any suit or other judicial or administrative proceeding as a result of any claimed act or omission by the Company, or by reason of any act occurring in connection with the provision of services by SRS hereunder, excluding specifically claims or causes of action for breach of contract or violation of the confidentiality provisions contained herein, the Company shall indemnify and hold SRS harmless against all judgments, settlements, penalties and expenses including reasonable attorney fees, court costs and other expenses of litigation or administrative proceeding, incurred by or imposed on SRS in connection with the investigation or defense thereof, provided that SRS has timely notified the Company in writing of the existence of the claim, demand, penalty or action and provided further that SRS has not admitted liability for, entered into any settlement agreement, stipulated to any judgment, agreed to arbitration or incurred costs of defense without the Company's prior written consent. The Company's consent shall not be unreasonably withheld provided that the Company shall be entitled to all information and particulars it may reasonably request in order to reach a decision regarding such consent. The Company, at its election, may defend SRS.

This agreement shall be governed and construed in accordance with the laws of the State of New York and the parties consent to the jurisdiction of the Supreme Court, Nassau County for any actions arising hereunder.

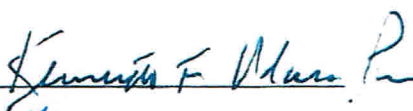
If the above sets forth your understanding of our agreement, kindly sign a copy of this letter and return it to us. Once we receive a signed copy, we may register with the NYS Joint Commission on Public Ethics. We look forward to working with you and will strive at all times to provide the services which are in all manner acceptable to you.

Very truly yours,



Marc Alessi

Agreed to:

By: 
Kenneth F. Moore
President

Dated: 6/2/16