

APPENDIX E

COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name of lobbyist(s)/lobbying organization: The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission; or to otherwise engage in lobbying activities as the term is defined herein. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Peter Weiss, Executive Director, 383 Madison Avenue, Floor 8, New York, NY 10179; (212) 272-2297

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Frederick Putnam, Vice President, 383 Madison Avenue, Floor 8, New York, NY 10179; (212) 270-0757

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Lindsey Brannon, Associate, 383 Madison Avenue, Floor 8, New York, NY 10179; (212) 270-0298

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2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

Peter Weiss and Frederick Putnam are registered New York State lobbyists for

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J.P. Morgan Securities LLC. Peter Weiss, Frederick Putnam and Lindsey Brannon will

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also be registered as Nassau County lobbyists through this RFP submission.

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3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

J.P. Morgan Securities LLC

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383 Madison Avenue, 8th Floor, New York, New York 10179

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Appendix E

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4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. **See page 4 for a complete description of lobbying activities.**

Lobbying activities with Nassau County officials are related to RFP procurement and administrative processes.

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5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

Nassau County Executive's Office

Nassau County Treasurer's Office

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Appendix E

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: 09-17-2015

Signed:  \_\_\_\_\_

Print Name: FREDERICK RUTNAM

Title: VICE PRESIDENT